OFFICE OF CHIEF COUNSEL
Safety Law Division

JAN - 5 2006

RAILROAD SAFETY CIVIL PENALTY CASES
CLOSED DURING FISCAL YEAR 2005

This report summarizes the disposition of all civil penalty cases on which the Federal Railroad Administration (FRA) reached an agreement to collect, or issued an order requiring the payment of, a civil penalty during fiscal year 2005 under the Federal railroad safety statutes and regulations. Cases were closed under most of these statutes by agreements of settlement, and under the hazardous materials transportation laws (HMT) generally by final orders of assessment. Total penalty settlements and final assessments against companies, summarized in this report, were as follows:

Railroad safety statutes (except HMT)	$ 5,894,245
HMT	$ 2,705,190
Total Civil Penalties	$ 8,599,435

The Federal Railroad Safety Statutes and Regulations

In the 1890s, Congress began regulating the railroad industry for safety purposes by enacting narrowly drawn laws to deal with discrete rail safety issues such as safety appliances and locomotive inspection. Having determined the need for more comprehensive regulation, Congress enacted the Federal Railroad Safety Act of 1970 (FRSA), which granted rulemaking authority over "all areas of railroad safety." FRA has exercised this authority by issuing a wide variety of rail safety regulations. See Parts 209 through 244 of Title 49 of the Code of Federal Regulations. Civil penalties are an important means of enforcing those regulations and the safety statutes.

In 1975, the Hazardous Materials Transportation Act (HMTA) added civil penalties to the criminal penalties already available for hazardous materials violations. HMTA regulations, although issued by the Department of Transportation's (DOT's) Research and Special Programs Administration, are generally enforced by the DOT administration responsible for each mode of transportation, e.g., by FRA in cases involving the transportation or shipment of hazardous materials by rail. In 1994, Congress repealed the FRSA, HMTA, and other Federal railroad safety statutes and recodified them in Title 49 of the United States Code, chapters 51 and 201-213.

The Civil Penalty Process

In aid of their efforts to promote safety compliance, inspectors employed by FRA and participating states submit reports alleging violations of Federal safety laws and regulations to the Safety Law Division of the Office of Chief Counsel. This Division reviews the reports for legal sufficiency, aggregates those of one type containing one or more alleged violations into a single case, assesses a penalty for each alleged violation, and transmits the case to the railroad, shipper, individual, or other entity under a penalty demand letter or, if an HMT case, a Notice of Probable Violation. After a respondent in such a case has conducted its own investigation, and unless the respondent decides to simply pay the full amount of the initial assessment, negotiations are held, during which the respondent presents defenses or arguments in mitigation and offers of settlement or final assessment are exchanged. In these negotiations, FRA focuses on applying the statutory assessment criteria to the facts of each case. When an agreement is reached, payment is generally due within 30 days of its execution. A more detailed statement of this process and FRA's enforcement policies is included in 49 CFR Part 209, Appendix A. Where settlement cannot be reached, cases are referred to the Department of Justice for litigation. The Attorney General, with FRA's active participation, brings suit in the appropriate Federal court.

HMT cases are normally concluded by issuance of an order of assessment predicated on a finding of a knowing violation. Where an HMT case cannot be resolved informally, a respondent is entitled to a formal hearing on FRA's allegations before a hearing officer designated by the Chief Counsel and then to an appeal to the Federal Railroad Administrator prior to judicial litigation.

FRA ordinarily closes the vast majority of its civil penalty cases without need for litigation, which is consistent with the rail safety statutory scheme that promotes compromise based on specified settlement criteria. The negotiation process takes into account the strengths and weaknesses of each case and the overall goals of the safety program. We believe that collection of these penalties, while just one of many compliance tools FRA uses, contributes significantly to improving regulatory compliance and achieving safety program goals.

Mark H. Tessler
Assistant Chief Counsel
For Safety

Abbreviations Used in this Report

The type of violation alleged in each civil penalty case can be identified using the following codes, one of which appears as a suffix to each case number:

AD	Alcohol and drug regulations
AR	Accident reports laws and regulations
BW	Bridge Worker Safety Standards
EO	Emergency Order (under 49 USC § 20104)
EP	Railroad Safety Enforcement Procedures
EQ	Engineer qualifications regulations
FCS	Railroad Freight Car Safety Standards
GC	Grade Crossing Signal System Safety
GS	Safety Glazing Standards
HMT	Hazardous Materials Regulations
HS	Hours of service laws
HSR	Hours of service record keeping regulations
LI	Locomotive inspection laws and regulations
NE	Railroad Noise Emission Compliance
PEP	Passenger Train Emergency Preparedness
PEQ	Passenger Equipment Safety Standards
REM	Rear end marking devices regulations
ROP	Railroad Operating Practices
ROR	Railroad Operating Rules
RSP	Radio Standards and Procedures
RW	Roadway Worker Protection Rules
SA	Safety appliance laws and regulations
SI	Signal inspection law and regulations
TS	Track Safety Standards

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Settlement/Final Assessment Date
AA1989-2LI	1	$3,500	$2,500	$1,875	09-30-2005
AGC2004-1RW	1	$1,000	$1,000	$1,000	05-18-2005
AGCR2004-1SA	1	$2,500	$2,500	$2,500	12-03-2004
ALS2005-1EQ	1	$1,000	$0	$0	09-14-2005
APA2003-1SA	1	$5,000	$5,000	$2,500	10-13-2004
ARR2004-2TS	9	$41,000	$41,000	$27,000	06-01-2005
ARR2005-1TS	1	$2,500	$2,500	$2,500	06-01-2005
ATK2003-14PEQ	4	$10,000	$10,000	$7,000	09-26-2005
ATK2003-24PEQ	8	$15,500	$15,500	$9,700	09-26-2005
ATK2004-2SI	7	$7,000	$7,000	$5,460	09-26-2005
ATK2004-5PEQ	1	$2,500	$2,500	$1,875	09-26-2005
ATK2004-12LI	5	$11,500	$11,500	$8,750	09-26-2005
ATK2004-15RW	1	$5,000	$0	$0	09-26-2005
ATK2004-18HSR	1	$1,000	$1,000	$650	09-26-2005
ATK2004-20SA	1	$2,500	$2,500	$1,875	09-26-2005
ATK2004-21LI	1	$5,000	$5,000	$3,750	09-26-2005
ATK2004-22AR	1	$2,500	$2,500	$1,875	09-26-2005
ATK2004-23PEP	2	$5,000	$5,000	$3,500	09-26-2005
ATK2004-24HS	1	$1,000	$1,000	$650	09-26-2005
ATK2004-25GC	1	$5,000	$5,000	$3,750	09-26-2005
ATK2004-27ROP	2	$7,000	$7,000	$5,250	09-26-2005
ATK2004-28RSP	2	$3,500	$3,500	$2,450	09-26-2005
ATK2004-29AR	3	$7,500	$7,500	$5,250	09-26-2005
ATK2004-30RW	1	$1,000	$1,000	$750	09-26-2005
ATK2004-31SI	1	$5,000	$5,000	$4,000	09-26-2005
ATK2004-32RW	1	$1,000	$1,000	$800	09-26-2005
ATK2004-33PEQ	2	$5,000	$5,000	$3,500	09-26-2005
ATK2004-34HS	2	$2,000	$2,000	$1,500	09-26-2005
ATK2004-35HSR	1	$1,000	$1,000	$650	09-26-2005
ATK2004-36ROP	1	$5,000	$5,000	$3,500	09-26-2005
ATK2004-37LI	2	$5,000	$5,000	$3,800	09-26-2005
ATK2004-38AR	4	$10,000	$10,000	$7,000	09-26-2005
ATK2004-39HSR	2	$2,000	$2,000	$1,400	09-26-2005
ATK2004-40SI	1	$5,000	$5,000	$3,750	09-26-2005
ATK2004-41AD	1	$1,000	$1,000	$750	09-26-2005
ATK2004-42EQ	1	$5,000	$5,000	$3,750	09-26-2005
ATK2005-1SI	1	$1,000	$1,000	$780	09-26-2005
ATK2005-2REM	1	$5,000	$5,000	$3,750	09-26-2005
ATK2005-4RSP	1	$5,000	$5,000	$3,500	09-26-2005
ATK2005-5ROP	2	$10,000	$0	$0	09-26-2005
ATK2005-6RSP	1	$5,000	$5,000	$3,750	09-26-2005
ATK2005-7ROP	1	$5,000	$5,000	$3,500	09-26-2005
ATK2005-8LI	1	$2,500	$2,500	$1,825	09-26-2005
ATK2005-9RW	1	$3,000	$3,000	$2,340	09-26-2005
ATK2005-10ROP	1	$5,000	$5,000	$3,250	09-26-2005
ATK2005-11PEQ	4	$15,000	$15,000	$10,250	09-26-2005
ATK2005-12GS	1	$2,500	$2,500	$1,875	09-26-2005
ATK2005-13SA	2	$7,500	$7,500	$5,625	09-26-2005
ATK2005-14AD	1	$2,500	$2,500	$1,700	09-26-2005
ATK2005-18GC	1	$5,000	$5,000	$3,750	09-26-2005
ATK2005-19HS	2	$2,000	$2,000	$1,300	09-26-2005

AUAR2005-1SA	2	$5,000	$5,000	$3,000	08-23-2005

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Settlement/Final Assessment Date
AZER2002-1AD	1	$2,500	$2,500	$2,000	12-21-2004
AZER2002-2TS	2	$7,500	$7,500	$6,000	12-21-2004
AZER2002-3TS	2	$7,500	$7,500	$6,000	12-21-2004
AZER2004-1TS	5	$16,000	$16,000	$12,000	01-31-2005
BBI2003-1GC	1	$5,000	$5,000	$5,000	08-30-2005
BHWY2005-1EQ	1	$5,000	$0	$0	07-25-2005
BLE2003-1FCS	1	$5,000	$5,000	$3,250	09-30-2005
BM2005-1TS	1	$1,000	$1,000	$690	09-21-2005
BNSF2003-16EQ	3	$12,000	$12,000	$7,800	09-30-2005
BNSF2003-96EQ	1	$2,500	$2,500	$1,500	09-30-2005
BNSF2003-125EQ	2	$5,000	$5,000	$3,200	09-30-2005
BNSF2003-173AR	5	$12,500	$12,500	$7,000	09-30-2005
BNSF2003-233RSP	1	$5,000	$5,000	$4,000	09-30-2005
BNSF2003-235SA	2	$5,000	$5,000	$4,250	09-30-2005
BNSF2003-237FCS	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2003-239ROP	1	$5,000	$5,000	$3,500	09-30-2005
BNSF2003-241EQ	1	$5,000	$5,000	$2,750	09-30-2005
BNSF2003-245HMT	3	$22,500	$6,000	$4,800	09-30-2005
BNSF2003-249HMT	1	$2,500	$2,500	$1,500	09-30-2005
BNSF2003-251HMT	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2003-252RW	1	$1,000	$1,000	$650	09-30-2005
BNSF2003-253RW	1	$1,000	$1,000	$650	09-30-2005
BNSF2004-1TS	1	$1,000	$1,000	$600	09-30-2005
BNSF2004-3RW	2	$7,000	$7,000	$4,900	09-30-2005
BNSF2004-5SA	3	$7,500	$7,500	$5,700	09-30-2005
BNSF2004-7TS	5	$22,500	$22,500	$14,175	09-30-2005
BNSF2004-9GC	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-15FCS	8	$20,000	$20,000	$12,800	09-30-2005
BNSF2004-16SI	3	$3,000	$3,000	$1,950	09-30-2005
BNSF2004-18SA	3	$12,500	$12,500	$8,750	09-30-2005
BNSF2004-19SA	2	$5,000	$5,000	$3,000	09-30-2005
BNSF2004-20SA	1	$2,500	$2,500	$1,500	09-30-2005
BNSF2004-22FCS	1	$2,500	$2,500	$1,750	09-30-2005
BNSF2004-24SI	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-26AD	2	$5,000	$5,000	$3,000	09-30-2005
BNSF2004-28SA	2	$7,500	$7,500	$5,125	09-30-2005
BNSF2004-30HS	4	$4,000	$4,000	$2,600	09-30-2005
BNSF2004-31AR	2	$5,000	$5,000	$3,000	09-30-2005
BNSF2004-33HSR	1	$1,000	$1,000	$600	09-30-2005
BNSF2004-34LI	2	$5,000	$5,000	$3,500	09-30-2005
BNSF2004-35FCS	2	$5,000	$5,000	$4,000	09-30-2005
BNSF2004-36ROP	1	$5,000	$5,000	$3,000	09-30-2005
BNSF2004-37SA	4	$12,500	$12,500	$9,475	09-30-2005
BNSF2004-38AR	1	$2,500	$0	$0	09-30-2005
BNSF2004-39SI	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-40RW	1	$5,000	$5,000	$2,500	09-30-2005
BNSF2004-41LI	9	$9,000	$9,000	$5,850	09-30-2005
BNSF2004-42SA	5	$40,000	$40,000	$25,000	09-30-2005
BNSF2004-43HS	2	$2,000	$2,000	$1,200	09-30-2005
BNSF2004-45ROP	2	$5,000	$5,000	$3,000	09-30-2005

BNSF2004-46SA	6	$30,000	$30,000	$25,500	09-30-2005
BNSF2004-47GC	1	$5,000	$5,000	$3,500	09-30-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
BNSF2004-49HMT	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-50LI	2	$6,500	$6,500	$4,225	09-30-2005
BNSF2004-52FCS	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-53HMT	1	$2,000	$2,000	$1,200	09-30-2005
BNSF2004-55HMT	1	$6,000	$6,000	$4,200	09-30-2005
BNSF2004-56SA	7	$20,000	$20,000	$16,600	09-30-2005
BNSF2004-57LI	3	$6,500	$6,500	$4,550	09-30-2005
BNSF2004-59AR	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-61HMT	6	$12,000	$12,000	$7,800	09-30-2005
BNSF2004-62HMT	1	$1,000	$1,000	$600	09-30-2005
BNSF2004-63HMT	1	$2,000	$2,000	$1,200	09-30-2005
BNSF2004-64TS	1	$2,000	$2,000	$1,400	09-30-2005
BNSF2004-65SA	6	$27,500	$27,500	$23,375	09-30-2005
BNSF2004-66SI	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-67HMT	1	$6,000	$6,000	$3,900	09-30-2005
BNSF2004-68HMT	1	$6,000	$6,000	$3,600	09-30-2005
BNSF2004-69HMT	2	$10,000	$4,000	$3,200	09-30-2005
BNSF2004-70HS	2	$2,000	$2,000	$1,300	09-30-2005
BNSF2004-71HSR	4	$4,000	$4,000	$2,400	09-30-2005
BNSF2004-72SA	3	$10,000	$7,500	$4,500	09-30-2005
BNSF2004-73RW	2	$2,000	$2,000	$1,300	09-30-2005
BNSF2004-74FCS	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-75LI	2	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-76FCS	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-77RSP	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-80LI	1	$5,000	$5,000	$4,000	09-30-2005
BNSF2004-81SA	7	$25,000	$25,000	$20,550	09-30-2005
BNSF2004-82SA	10	$32,500	$32,500	$27,625	09-30-2005
BNSF2004-83SA	10	$30,000	$30,000	$25,500	09-30-2005
BNSF2004-84HMT	1	$1,000	$1,000	$650	09-30-2005
BNSF2004-85HMT	1	$6,000	$6,000	$3,600	09-30-2005
BNSF2004-86HMT	1	$6,000	$6,000	$3,600	09-30-2005
BNSF2004-87AR	5	$15,000	$15,000	$9,000	09-30-2005
BNSF2004-88HSR	8	$8,000	$8,000	$5,200	09-30-2005
BNSF2004-89GC	1	$2,500	$2,500	$1,500	09-30-2005
BNSF2004-90LI	1	$5,000	$5,000	$3,500	09-30-2005
BNSF2004-91TS	4	$8,500	$8,500	$5,350	09-30-2005
BNSF2004-92TS	4	$9,500	$9,500	$6,175	09-30-2005
BNSF2004-93FCS	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-94SA	1	$2,500	$2,500	$1,500	09-30-2005
BNSF2004-95TS	9	$60,000	$7,500	$4,875	09-30-2005
BNSF2004-96RW	2	$2,000	$2,000	$1,400	09-30-2005
BNSF2004-97SA	1	$5,000	$5,000	$3,500	09-30-2005
BNSF2004-98SA	8	$27,500	$27,500	$20,500	09-30-2005
BNSF2004-99LI	3	$4,500	$4,500	$2,925	09-30-2005
BNSF2004-101SA	8	$27,500	$27,500	$19,000	09-30-2005
BNSF2004-102AR	5	$12,500	$12,500	$8,125	09-30-2005
BNSF2004-103TS	3	$12,500	$12,500	$8,625	09-30-2005
BNSF2004-104TS	2	$3,000	$3,000	$1,800	09-30-2005

BNSF2004-105HMT	2	$14,000	$14,000	$9,100	09-30-2005
BNSF2004-106HMT	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-107HMT	1	$3,000	$3,000	$2,100	09-30-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
BNSF2004-108HMT	1	$2,500	$2,500	$1,500	09-30-2005
BNSF2004-109HMT	1	$2,500	$2,500	$1,500	09-30-2005
BNSF2004-110SI	2	$2,000	$2,000	$1,300	09-30-2005
BNSF2004-111ROP	1	$5,000	$5,000	$3,000	09-30-2005
BNSF2004-112FCS	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-113SA	3	$7,500	$7,500	$4,500	09-30-2005
BNSF2004-114TS	2	$5,000	$5,000	$3,125	09-30-2005
BNSF2004-115FCS	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-116LI	3	$20,500	$20,500	$12,300	09-30-2005
BNSF2004-117SA	1	$2,500	$2,500	$2,125	09-30-2005
BNSF2004-118TS	1	$2,500	$2,500	$1,500	09-30-2005
BNSF2004-119SA	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-120HS	2	$2,000	$2,000	$1,200	09-30-2005
BNSF2004-121HMT	1	$2,000	$2,000	$1,300	09-30-2005
BNSF2004-122SA	5	$20,000	$20,000	$15,300	09-30-2005
BNSF2004-123ROP	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-125TS	2	$3,500	$3,500	$2,350	09-30-2005
BNSF2004-126SI	1	$1,000	$1,000	$650	09-30-2005
BNSF2004-127FCS	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-129SA	7	$22,500	$22,500	$15,500	09-30-2005
BNSF2004-130SA	5	$20,000	$20,000	$13,000	09-30-2005
BNSF2004-131EP	3	$6,000	$6,000	$4,200	09-30-2005
BNSF2004-132FCS	1	$2,500	$2,500	$1,600	09-30-2005
BNSF2004-133TS	5	$11,000	$11,000	$8,800	09-30-2005
BNSF2004-134HMT	2	$20,000	$20,000	$13,700	09-30-2005
BNSF2004-136RSP	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-137HMT	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-138RSP	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-139TS	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-140SA	7	$17,500	$15,000	$12,750	09-30-2005
BNSF2004-141SA	7	$22,500	$22,500	$19,125	09-30-2005
BNSF2004-142SI	1	$1,000	$1,000	$650	09-30-2005
BNSF2004-143FCS	2	$10,000	$10,000	$6,500	09-30-2005
BNSF2004-144SA	3	$15,000	$15,000	$9,750	09-30-2005
BNSF2004-146HMT	3	$6,000	$6,000	$3,900	09-30-2005
BNSF2004-147HMT	1	$1,000	$1,000	$650	09-30-2005
BNSF2004-149AR	1	$2,500	$2,500	$1,250	09-30-2005
BNSF2004-151LI	3	$9,000	$9,000	$6,300	09-30-2005
BNSF2004-152EQ	2	$2,000	$2,000	$1,400	09-30-2005
BNSF2004-153TS	2	$7,500	$7,500	$4,875	09-30-2005
BNSF2004-155SA	6	$22,500	$22,500	$15,750	09-30-2005
BNSF2004-156SA	7	$26,000	$26,000	$16,900	09-30-2005
BNSF2004-157HS	1	$1,000	$1,000	$650	09-30-2005
BNSF2004-158HS	2	$2,000	$2,000	$1,200	09-30-2005
BNSF2004-159TS	2	$5,000	$5,000	$3,125	09-30-2005
BNSF2004-160TS	1	$5,000	$5,000	$3,500	09-30-2005
BNSF2004-161FCS	2	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-162HSR	1	$1,000	$1,000	$600	09-30-2005

BNSF2004-163LI	10	$15,500	$15,500	$10,075	09-30-2005
BNSF2004-164FCS	8	$20,000	$20,000	$13,000	09-30-2005
BNSF2004-166HMT	3	$6,000	$6,000	$3,900	09-30-2005
BNSF2004-168SA	7	$40,000	$40,000	$26,000	09-30-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
BNSF2004-169SA	6	$15,000	$15,000	$9,750	09-30-2005
BNSF2004-170SA	5	$12,500	$12,500	$8,750	09-30-2005
BNSF2004-171FCS	3	$7,500	$7,500	$4,875	09-30-2005
BNSF2004-172SA	11	$42,500	$42,500	$29,500	09-30-2005
BNSF2004-173SI	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-174FCS	1	$2,500	$2,500	$1,625	09-30-2005
BNSF2004-175HS	6	$6,000	$6,000	$3,600	09-30-2005
BNSF2004-176SA	7	$27,500	$27,500	$19,000	09-30-2005
BNSF2004-177GC	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-178FCS	3	$10,000	$10,000	$6,500	09-30-2005
BNSF2004-180HMT	2	$4,000	$4,000	$2,800	09-30-2005
BNSF2004-181HMT	1	$5,000	$5,000	$3,000	09-30-2005
BNSF2004-183HMT	1	$5,000	$5,000	$3,500	09-30-2005
BNSF2004-184HMT	1	$7,500	$7,500	$5,250	09-30-2005
BNSF2004-185SA	6	$20,000	$20,000	$13,000	09-30-2005
BNSF2004-186SA	7	$22,500	$22,500	$14,550	09-30-2005
BNSF2004-187SI	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-188FCS	1	$2,500	$2,500	$2,000	09-30-2005
BNSF2004-189TS	2	$2,000	$2,000	$1,300	09-30-2005
BNSF2004-190LI	3	$15,000	$15,000	$10,500	09-30-2005
BNSF2004-191RW	1	$1,000	$1,000	$650	09-30-2005
BNSF2004-192SA	1	$5,000	$5,000	$3,250	09-30-2005
BNSF2004-193TS	6	$20,000	$20,000	$13,000	09-30-2005
BNSF2004-194TS	7	$7,000	$7,000	$4,200	09-30-2005
BNSF2004-195TS	1	$5,000	$5,000	$3,500	09-30-2005
BNSF2004-196HS	3	$3,000	$3,000	$1,800	09-30-2005
BNSF2004-199HSR	1	$1,000	$1,000	$650	09-30-2005
BNSF2004-200HMT	2	$15,000	$15,000	$12,000	09-30-2005
BNSF2004-201SA	1	$2,500	$2,500	$1,750	09-30-2005
BNSF2004-202TS	3	$12,500	$12,500	$8,750	09-30-2005
BNSF2004-203TS	4	$11,000	$11,000	$7,700	09-30-2005
BNSF2004-206GC	1	$5,000	$5,000	$3,500	09-30-2005
BNSF2004-207TS	14	$70,000	$70,000	$47,750	09-30-2005
BNSF2005-1SA	2	$10,000	$10,000	$6,500	09-30-2005
BNSF2005-2LI	2	$9,000	$9,000	$6,300	09-30-2005
BNSF2005-3AD	2	$10,000	$10,000	$6,000	09-30-2005
BNSF2005-4GC	1	$5,000	$5,000	$3,500	09-30-2005
BNSF2005-5HMT	2	$10,000	$10,000	$6,000	09-30-2005
BNSF2005-6HMT	2	$4,000	$4,000	$3,200	09-30-2005
BNSF2005-7HMT	2	$12,000	$12,000	$7,200	09-30-2005
BNSF2005-9HMT	2	$7,500	$7,500	$5,250	09-30-2005
BNSF2005-11GC	4	$17,500	$17,500	$11,375	09-30-2005
BNSF2005-12TS	5	$25,000	$25,000	$16,250	09-30-2005
BNSF2005-14TS	4	$17,500	$17,500	$11,375	09-30-2005
BNSF2005-16LI	8	$20,500	$20,500	$14,000	09-30-2005
BNSF2005-17RW	1	$1,000	$1,000	$650	09-30-2005
BNSF2005-19TS	4	$20,000	$20,000	$13,000	09-30-2005

BNSF2005-21TS	4	$10,000	$10,000	$7,000	09-30-2005
BNSF2005-23TS	3	$12,500	$12,500	$8,750	09-30-2005
BNSF2005-25SA	2	$5,000	$5,000	$3,250	09-30-2005
BNSF2005-27HS	1	$1,000	$1,000	$600	09-30-2005
BNSF2005-30LI	1	$1,000	$1,000	$650	09-30-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
BNSF2005-31RW	1	$3,000	$3,000	$2,400	09-30-2005
BNSF2005-33TS	2	$7,500	$7,500	$5,250	09-30-2005
BNSF2005-34TS	1	$1,000	$1,000	$650	09-30-2005
BNSF2005-36TS	1	$5,000	$5,000	$3,500	09-30-2005
BNSF2005-39TS	1	$5,000	$5,000	$3,500	09-30-2005
BNSF2005-42SA	1	$10,000	$10,000	$6,500	09-30-2005
BNSF2005-45FCS	2	$5,000	$5,000	$3,250	09-30-2005
BNSF2005-54GC	1	$5,000	$5,000	$3,250	09-30-2005
BRC2003-1SA	1	$5,000	$5,000	$3,750	12-07-2004
BRC2003-2SA	1	$2,500	$2,500	$1,500	12-07-2004
BRC2003-3FCS	1	$5,000	$5,000	$3,750	12-07-2004
BRC2003-4SA	2	$7,500	$7,500	$5,625	12-07-2004
BRC2003-5SA	2	$5,000	$5,000	$3,750	12-07-2004
BRC2003-6RW	2	$2,000	$2,000	$1,000	12-07-2004
BRC2004-1SA	1	$2,500	$2,500	$1,500	12-07-2004
BRC2004-2SA	1	$2,500	$2,500	$1,500	12-07-2004
BRC2004-3SA	1	$2,500	$2,500	$1,500	12-07-2004
BRC2004-4EQ	1	$10,000	$10,000	$9,000	12-07-2004
CFNR2002-2SI	1	$2,500	$0	$0	01-06-2005
CFWR2003-1TS	9	$21,500	$21,500	$10,950	09-13-2005
CFWR2003-2RW	1	$2,000	$2,000	$1,250	09-13-2005
CFWR2004-1LI	2	$15,000	$15,000	$8,975	09-13-2005
CN2003-8GC	2	$5,000	$5,000	$3,300	09-30-2005
CN2004-1FCS	1	$2,500	$2,500	$1,725	09-30-2005
CN2004-2TS	5	$20,000	$20,000	$13,900	09-30-2005
CN2004-3SA	2	$5,000	$5,000	$3,400	09-30-2005
CN2004-4LI	1	$5,000	$5,000	$3,400	09-30-2005
CN2004-5HMT	3	$6,000	$6,000	$3,950	09-30-2005
CN2004-6HMT	1	$6,000	$6,000	$4,000	09-30-2005
CN2004-7HMT	1	$6,000	$6,000	$4,000	09-30-2005
CN2004-8TS	2	$7,500	$7,500	$5,300	09-30-2005
CN2004-9TS	6	$30,000	$30,000	$19,500	09-30-2005
CN2004-10HMT	1	$4,000	$4,000	$2,850	09-30-2005
CN2004-11SA	2	$5,000	$2,500	$1,750	09-30-2005
CN2004-12LI	1	$1,000	$1,000	$600	09-30-2005
CN2004-13SA	2	$7,500	$7,500	$4,850	09-30-2005
CN2004-14SA	3	$10,000	$10,000	$6,700	09-30-2005
CN2004-15ROP	2	$10,000	$10,000	$6,700	09-30-2005
CN2004-16FCS	2	$10,000	$10,000	$6,600	09-30-2005
CN2004-17LI	1	$2,500	$2,500	$1,725	09-30-2005
CN2004-18HMT	4	$20,000	$20,000	$13,400	09-30-2005
CN2004-19HMT	5	$36,000	$36,000	$23,450	09-30-2005
CN2004-20HMT	1	$7,500	$7,500	$5,050	09-30-2005
CN2004-21SA	1	$2,500	$2,500	$1,775	09-30-2005
CN2004-22RW	1	$1,000	$1,000	$750	09-30-2005
CN2004-23TS	1	$1,000	$1,000	$725	09-30-2005

CN2004-25AR	1	$1,000	$1,000	$650	09-30-2005
CN2004-26HS	1	$1,000	$1,000	$550	09-30-2005
CN2004-27HMT	2	$6,000	$6,000	$3,850	09-30-2005
CN2004-28TS	1	$1,000	$1,000	$725	09-30-2005
CN2004-29SA	5	$15,000	$15,000	$10,000	09-30-2005
CN2004-30LI	5	$19,500	$19,500	$12,450	09-30-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
CN2004-31FCS	3	$7,500	$7,500	$5,100	09-30-2005
CN2004-32TS	8	$8,000	$8,000	$5,000	09-30-2005
CN2004-33TS	1	$2,500	$2,500	$1,800	09-30-2005
CN2004-34RW	2	$2,000	$2,000	$1,500	09-30-2005
CN2004-35LI	1	$6,500	$6,500	$4,550	09-30-2005
CN2004-36SA	1	$2,500	$2,500	$1,725	09-30-2005
CN2004-37ROP	4	$20,000	$20,000	$14,100	09-30-2005
CN2004-38HMT	3	$21,000	$21,000	$11,400	09-30-2005
CN2004-39SA	3	$10,000	$10,000	$6,850	09-30-2005
CN2004-40HMT	1	$5,000	$5,000	$3,300	09-30-2005
CN2004-41FCS	2	$7,500	$2,500	$1,750	09-30-2005
CN2004-42HMT	1	$7,500	$7,500	$5,750	09-30-2005
CN2004-43HMT	1	$3,000	$3,000	$1,975	09-30-2005
CNUR2003-1SA	1	$2,500	$2,500	$1,750	01-27-2005
CP2003-21HSR	1	$1,000	$1,000	$700	09-30-2005
CP2004-3FCS	1	$2,500	$2,500	$1,875	09-30-2005
CP2004-4HSR	1	$2,000	$2,000	$1,600	09-30-2005
CP2004-5SA	2	$10,000	$10,000	$9,000	09-30-2005
CP2004-6SI	2	$5,000	$5,000	$3,750	09-30-2005
CP2004-7HMT	1	$5,000	$5,000	$3,500	09-30-2005
CP2004-8FCS	1	$2,500	$2,500	$1,875	09-30-2005
CP2004-9HMT	1	$6,000	$6,000	$4,200	09-30-2005
CP2004-10SA	1	$2,500	$2,500	$2,250	09-30-2005
CP2004-11AD	1	$5,000	$5,000	$3,250	09-30-2005
CP2005-1SA	2	$5,000	$5,000	$4,500	09-30-2005
CP2005-2TS	3	$10,000	$10,000	$9,000	09-30-2005
CP2005-4TS	1	$5,000	$5,000	$3,750	09-30-2005
CP2005-5HS	4	$4,000	$4,000	$3,000	09-30-2005
CP2005-6AR	1	$2,500	$2,500	$1,500	09-30-2005
CP2005-7HMT	5	$33,000	$33,000	$23,100	09-30-2005
CR2004-2HMT	2	$13,500	$13,500	$11,000	06-16-2005
CR2004-3HMT	2	$5,000	$5,000	$2,850	06-16-2005
CR2004-4HMT	2	$12,500	$12,500	$10,200	06-16-2005
CR2004-5TS	1	$5,000	$5,000	$3,100	06-15-2005
CR2004-6SA	2	$7,500	$7,500	$4,500	06-15-2005
CR2004-7LI	1	$2,000	$2,000	$1,500	06-15-2005
CR2005-1SA	4	$12,500	$10,000	$6,000	06-15-2005
CR2005-2SA	2	$10,000	$10,000	$7,000	06-15-2005
CR2005-3SA	1	$2,500	$2,500	$1,500	06-15-2005
CRSM2004-4LI	1	$7,500	$7,500	$4,700	06-15-2005
CRSM2004-5SI	1	$2,500	$2,500	$1,500	06-15-2005
CRSM2004-6GC	2	$5,000	$5,000	$3,000	06-15-2005
CSX2002-125LI	25	$45,000	$45,000	$28,375	09-30-2005
CSX2002-141HMT	1	$6,000	$6,000	$3,600	09-30-2005
CSX2003-186LI	1	$2,500	$2,500	$1,640	09-30-2005

CSX2004-20FCS	3	$10,000	$10,000	$6,125	09-30-2005
CSX2004-36HSR	8	$6,000	$6,000	$4,480	09-30-2005
CSX2004-48SA	6	$20,000	$20,000	$12,800	09-30-2005
CSX2004-58SA	6	$15,000	$15,000	$6,450	09-30-2005
CSX2004-61FCS	3	$7,500	$7,500	$5,450	09-30-2005
CSX2004-64TS	6	$30,000	$30,000	$18,000	09-30-2005
CSX2004-66LI	1	$6,000	$6,000	$3,950	09-30-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
CSX2004-73HS	8	$8,000	$8,000	$5,000	09-30-2005
CSX2004-76SA	3	$7,500	$7,500	$4,800	09-30-2005
CSX2004-77TS	1	$2,500	$2,500	$1,600	09-30-2005
CSX2004-80SI	1	$1,000	$1,000	$675	09-30-2005
CSX2004-82SA	1	$2,500	$2,500	$1,575	09-30-2005
CSX2004-84LI	3	$7,500	$7,500	$4,900	09-30-2005
CSX2004-85FCS	7	$20,000	$20,000	$13,200	09-30-2005
CSX2004-86SA	7	$20,000	$20,000	$12,650	09-30-2005
CSX2004-88FCS	1	$5,000	$5,000	$3,250	09-30-2005
CSX2004-89SA	6	$17,500	$17,500	$11,000	09-30-2005
CSX2004-90FCS	4	$15,000	$15,000	$9,850	09-30-2005
CSX2004-91TS	3	$12,500	$12,500	$7,500	09-30-2005
CSX2004-92FCS	6	$15,000	$15,000	$9,900	09-30-2005
CSX2004-93SA	2	$10,000	$10,000	$5,900	09-30-2005
CSX2004-94AR	1	$2,500	$2,500	$1,650	09-30-2005
CSX2004-95HMT	2	$10,000	$10,000	$6,500	09-30-2005
CSX2004-96FCS	2	$5,000	$5,000	$3,200	09-30-2005
CSX2004-97LI	4	$10,000	$10,000	$6,600	09-30-2005
CSX2004-98TS	6	$27,500	$27,500	$17,700	09-30-2005
CSX2004-99TS	4	$10,000	$10,000	$6,200	09-30-2005
CSX2004-100TS	13	$32,500	$32,500	$19,550	09-30-2005
CSX2004-101RSP	5	$10,500	$10,500	$6,700	09-30-2005
CSX2004-102FCS	1	$5,000	$5,000	$3,275	09-30-2005
CSX2004-103HMT	5	$20,000	$20,000	$11,050	09-30-2005
CSX2004-104FCS	1	$7,500	$7,500	$4,875	09-30-2005
CSX2004-105ROP	1	$5,000	$5,000	$3,275	09-30-2005
CSX2004-106RW	3	$3,000	$3,000	$1,860	09-30-2005
CSX2004-107SA	2	$5,000	$5,000	$3,200	09-30-2005
CSX2004-108LI	1	$5,000	$5,000	$3,300	09-30-2005
CSX2004-109FCS	3	$10,000	$10,000	$6,550	09-30-2005
CSX2004-110RSP	3	$10,000	$10,000	$6,125	09-30-2005
CSX2004-111TS	4	$15,000	$15,000	$9,600	09-30-2005
CSX2004-112TS	1	$1,000	$1,000	$575	09-30-2005
CSX2004-113SA	2	$5,000	$5,000	$3,100	09-30-2005
CSX2004-115HS	4	$4,000	$4,000	$2,500	09-30-2005
CSX2004-116SI	3	$4,500	$4,500	$3,000	09-30-2005
CSX2004-117ROP	1	$5,000	$5,000	$3,300	09-30-2005
CSX2004-118EQ	1	$5,000	$5,000	$3,250	09-30-2005
CSX2004-119TS	7	$15,000	$15,000	$9,250	09-30-2005
CSX2004-121FCS	9	$27,500	$27,500	$17,900	09-30-2005
CSX2004-123HSR	3	$3,000	$3,000	$1,920	09-30-2005
CSX2004-124TS	2	$5,000	$5,000	$3,100	09-30-2005
CSX2004-125TS	4	$17,500	$17,500	$11,400	09-30-2005
CSX2004-126FCS	2	$7,500	$7,500	$4,900	09-30-2005

CSX2004-127HMT	1	$5,000	$5,000	$3,400	09-30-2005
CSX2004-128HMT	1	$6,000	$6,000	$3,900	09-30-2005
CSX2004-129LI	1	$2,500	$0	$0	09-30-2005
CSX2004-131TS	1	$5,000	$5,000	$3,250	09-30-2005
CSX2004-132SA	5	$17,500	$17,500	$11,300	09-30-2005
CSX2004-133HMT	1	$4,000	$4,000	$800	09-30-2005
CSX2004-134GC	2	$5,000	$5,000	$3,150	09-30-2005
CSX2004-135FCS	1	$2,500	$2,500	$1,650	09-30-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
CSX2004-136SI	3	$12,500	$12,500	$6,600	09-30-2005
CSX2004-137TS	1	$5,000	$5,000	$3,000	09-30-2005
CSX2004-138HS	2	$2,000	$2,000	$1,300	09-30-2005
CSX2004-139HSR	1	$1,000	$1,000	$640	09-30-2005
CSX2004-140TS	6	$27,000	$27,000	$16,300	09-30-2005
CSX2004-141GC	4	$10,000	$10,000	$6,400	09-30-2005
CSX2004-142FCS	1	$2,500	$2,500	$1,650	09-30-2005
CSX2004-143RSP	1	$2,500	$2,500	$1,600	09-30-2005
CSX2004-144TS	3	$10,000	$10,000	$6,200	09-30-2005
CSX2004-145HMT	2	$8,500	$8,500	$5,600	09-30-2005
CSX2004-146GC	1	$5,000	$5,000	$3,250	09-30-2005
CSX2004-147TS	2	$7,500	$2,500	$1,700	09-30-2005
CSX2004-148AR	1	$2,500	$0	$0	09-30-2005
CSX2004-149RW	5	$5,000	$5,000	$3,100	09-30-2005
CSX2004-150HMT	1	$1,000	$1,000	$800	09-30-2005
CSX2004-151SA	1	$5,000	$5,000	$3,250	09-30-2005
CSX2004-152FCS	3	$7,500	$7,500	$4,875	09-30-2005
CSX2004-154HMT	2	$6,500	$6,500	$4,600	09-30-2005
CSX2004-155HMT	3	$16,000	$16,000	$10,700	09-30-2005
CSX2004-156LI	5	$14,000	$14,000	$8,725	09-30-2005
CSX2004-157GC	1	$5,000	$5,000	$2,500	09-30-2005
CSX2004-158GC	1	$5,000	$5,000	$3,250	09-30-2005
CSX2004-159HMT	1	$2,000	$2,000	$1,350	09-30-2005
CSX2004-160TS	2	$6,000	$6,000	$3,700	09-30-2005
CSX2004-161RSP	1	$5,000	$5,000	$3,250	09-30-2005
CSX2004-162RW	5	$7,000	$7,000	$4,500	09-30-2005
CSX2004-163HS	2	$2,000	$2,000	$1,200	09-30-2005
CSX2004-164SA	6	$25,000	$25,000	$15,750	09-30-2005
CSX2004-165TS	3	$10,000	$10,000	$6,250	09-30-2005
CSX2004-166SA	2	$5,000	$5,000	$3,100	09-30-2005
CSX2004-167FCS	3	$10,000	$10,000	$6,550	09-30-2005
CSX2004-168HS	2	$2,000	$2,000	$1,250	09-30-2005
CSX2004-169HS	1	$1,000	$1,000	$650	09-30-2005
CSX2004-170HMT	2	$10,000	$10,000	$6,550	09-30-2005
CSX2004-171HMT	1	$3,000	$3,000	$1,950	09-30-2005
CSX2004-172TS	1	$5,000	$5,000	$3,025	09-30-2005
CSX2004-173TS	2	$7,500	$7,500	$4,600	09-30-2005
CSX2004-174HMT	1	$1,000	$1,000	$780	09-30-2005
CSX2004-175HMT	1	$6,000	$6,000	$4,300	09-30-2005
CSX2004-176HMT	2	$12,500	$12,500	$8,150	09-30-2005
CSX2004-177HMT	1	$4,000	$4,000	$2,800	09-30-2005
CSX2004-179HMT	1	$4,000	$4,000	$2,650	09-30-2005
CSX2004-180HMT	1	$6,000	$6,000	$3,900	09-30-2005

CSX2004-181HMT	1	$5,000	$5,000	$3,350	09-30-2005
CSX2004-182HMT	2	$9,000	$9,000	$5,400	09-30-2005
CSX2004-185SA	9	$32,500	$32,500	$20,650	09-30-2005
CSX2004-186TS	5	$12,500	$12,500	$7,500	09-30-2005
CSX2004-187FCS	1	$2,500	$2,500	$1,650	09-30-2005
CSX2004-188GC	2	$7,500	$2,500	$1,600	09-30-2005
CSX2004-189SA	7	$27,500	$27,500	$17,600	09-30-2005
CSX2004-190SA	7	$30,000	$30,000	$19,500	09-30-2005
CSX2004-191TS	1	$1,000	$1,000	$600	09-30-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
CSX2004-192FCS	3	$9,500	$9,500	$6,175	09-30-2005
CSX2004-194GC	3	$15,000	$15,000	$9,800	09-30-2005
CSX2004-198SI	2	$6,000	$1,000	$675	09-30-2005
CSX2004-199FCS	1	$5,000	$5,000	$4,100	09-30-2005
CSX2004-200LI	4	$16,000	$16,000	$9,850	09-30-2005
CSX2004-201LI	5	$11,000	$11,000	$6,400	09-30-2005
CSX2004-202RW	5	$5,000	$5,000	$3,100	09-30-2005
CSX2004-203AR	3	$6,000	$3,500	$2,800	09-30-2005
CSX2004-204HSR	2	$2,000	$2,000	$1,275	09-30-2005
CSX2004-205HS	1	$1,000	$1,000	$600	09-30-2005
CSX2004-206ROR	2	$10,000	$10,000	$6,550	09-30-2005
CSX2004-209HMT	1	$6,000	$6,000	$3,900	09-30-2005
CSX2004-210TS	1	$5,000	$5,000	$3,250	09-30-2005
CSX2004-211EQ	1	$5,000	$5,000	$3,250	09-30-2005
CSX2004-212RW	1	$1,000	$1,000	$640	09-30-2005
CSX2004-213SA	5	$15,000	$15,000	$9,300	09-30-2005
CSX2004-214HSR	4	$4,000	$4,000	$2,500	09-30-2005
CSX2004-215AR	1	$2,500	$2,500	$1,800	09-30-2005
CSX2004-216SA	4	$17,500	$17,500	$11,400	09-30-2005
CSX2004-217LI	3	$10,000	$10,000	$6,550	09-30-2005
CSX2004-218RW	1	$1,000	$1,000	$640	09-30-2005
CSX2004-219TS	8	$20,000	$20,000	$12,100	09-30-2005
CSX2004-220FCS	6	$15,000	$15,000	$9,850	09-30-2005
CSX2004-221RW	1	$5,000	$5,000	$3,050	09-30-2005
CSX2005-1FCS	2	$5,000	$5,000	$3,275	09-30-2005
CSX2005-2HMT	1	$7,500	$7,500	$4,950	09-30-2005
CSX2005-3RW	1	$5,000	$5,000	$3,250	09-30-2005
CSX2005-6LI	1	$2,500	$2,500	$1,775	09-30-2005
CSX2005-7TS	7	$17,500	$17,500	$10,750	09-30-2005
CSX2005-9FCS	2	$5,000	$5,000	$3,275	09-30-2005
CSX2005-10TS	1	$5,000	$5,000	$5,000	03-23-2005
CSX2005-11GC	3	$37,000	$37,000	$37,000	03-23-2005
CSX2005-12GC	24	$256,000	$256,000	$256,000	03-23-2005
CSX2005-13GC	1	$5,000	$5,000	$3,250	09-30-2005
CSX2005-14SI	1	$2,500	$2,500	$1,650	09-30-2005
CSX2005-16FCS	2	$5,000	$5,000	$3,250	09-30-2005
CSX2005-18HMT	1	$4,000	$4,000	$2,750	09-30-2005
CSX2005-20HMT	2	$12,500	$12,500	$8,150	09-30-2005
CSX2005-21HS	6	$6,000	$6,000	$3,750	09-30-2005
CSX2005-23HMT	1	$4,000	$4,000	$2,700	09-30-2005
CSX2005-26HMT	3	$7,000	$7,000	$4,600	09-30-2005
CSX2005-29LI	1	$2,500	$2,500	$1,775	09-30-2005

CSX2005-30RW	1	$1,000	$1,000	$640	09-30-2005
CSX2005-32LI	1	$1,500	$1,500	$1,000	09-30-2005
CSX2005-34HMT	11	$48,000	$48,000	$19,000	09-30-2005
CSX2005-38LI	1	$6,500	$6,500	$4,250	09-30-2005
CSX2005-39HSR	12	$12,000	$12,000	$7,500	09-30-2005
CSX2005-43HMT	1	$5,000	$5,000	$3,500	09-30-2005
CSX2005-47ROP	1	$5,000	$5,000	$3,300	09-30-2005
CSX2005-49RSP	2	$2,000	$2,000	$1,250	09-30-2005
CSX2005-51FCS	1	$2,500	$2,500	$1,650	09-30-2005
CSX2005-54REM	1	$5,000	$5,000	$3,300	09-30-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
CSX2005-55TS	5	$25,000	$25,000	$12,000	09-30-2005
CSX2005-56GC	1	$1,000	$1,000	$640	09-30-2005
CSX2005-57HMT	1	$4,000	$4,000	$2,600	09-30-2005
CSX2005-63SA	1	$5,000	$5,000	$3,250	09-30-2005
CSX2005-66FCS	1	$7,500	$7,500	$4,900	09-30-2005
CSX2005-68FCS	3	$7,500	$7,500	$4,875	09-30-2005
CSX2005-70FCS	2	$5,000	$5,000	$3,250	09-30-2005
CSX2005-75TS	1	$5,000	$5,000	$3,250	09-30-2005
CSX2005-83FCS	1	$7,500	$7,500	$4,900	09-30-2005
CSX2005-87HS	4	$4,000	$4,000	$2,500	09-30-2005
CSX2005-88HMT	1	$2,000	$2,000	$1,400	09-30-2005
CSX2005-90HMT	1	$5,000	$5,000	$3,350	09-30-2005
CSX2005-96HMT	3	$24,500	$24,500	$14,700	09-30-2005
CUOH2002-1LI	1	$2,500	$2,500	$1,500	05-26-2005
CUOH2002-2LI	1	$4,000	$4,000	$2,200	05-26-2005
CUOH2004-1LI	1	$2,500	$2,500	$1,500	05-26-2005
DH2003-1ROP	1	$11,000	$11,000	$6,800	09-30-2005
DH2004-2FCS	1	$2,500	$2,500	$1,875	09-30-2005
DMIR2003-1LI	1	$9,000	$9,000	$5,950	09-30-2005
DMIR2004-1LI	1	$10,000	$10,000	$6,700	09-30-2005
FDT2004-1TS	2	$7,500	$7,500	$4,000	12-20-2004
FEC2003-5ROP	1	$7,500	$0	$0	12-29-2004
FEC2004-1SA	1	$5,000	$5,000	$3,000	12-29-2004
FEC2004-2TS	1	$1,000	$1,000	$800	12-29-2004
FEC2004-3SI	1	$2,500	$2,500	$1,250	12-29-2004
GFRR2002-1SA	1	$5,000	$5,000	$3,400	01-13-2005
GFRR2002-2LI	1	$2,500	$2,500	$1,625	01-13-2005
GFRR2003-1FCS	1	$2,500	$2,500	$1,700	01-13-2005
GFRR2004-1GC	1	$5,000	$5,000	$3,400	01-13-2005
GFRR2004-2EQ	2	$5,000	$5,000	$1,625	01-13-2005
GFRR2004-3LI	2	$4,000	$4,000	$2,750	01-13-2005
GWRC2003-1AR	1	$2,500	$2,500	$1,375	01-31-2005
GWWR2003-1HMT	1	$5,000	$5,000	$3,250	09-29-2005
IANR2004-1GC	1	$2,500	$2,500	$1,500	02-28-2005
IC2004-1FCS	1	$2,500	$2,500	$1,675	09-30-2005
IC2004-2LI	2	$8,500	$8,500	$5,800	09-30-2005
IC2004-3FCS	1	$2,500	$2,500	$1,700	09-30-2005
IC2004-4LI	1	$5,000	$5,000	$3,600	09-30-2005
IC2004-5FCS	1	$2,500	$2,500	$1,700	09-30-2005
IC2004-6SA	3	$7,500	$7,500	$5,300	09-30-2005
IC2004-7FCS	1	$2,500	$2,500	$1,700	09-30-2005

IC2004-8SA	2	$7,500	$7,500	$5,400	09-30-2005
IC2004-9LI	1	$1,500	$1,500	$1,050	09-30-2005
IC2004-10SA	3	$12,500	$12,500	$8,750	09-30-2005
IHB2003-2AR	2	$5,000	$2,500	$1,375	01-18-2005
IHB2003-4AR	4	$10,000	$7,500	$4,125	01-18-2005
IHB2003-5AR	2	$5,000	$5,000	$2,500	01-18-2005
IHB2003-6FCS	1	$5,000	$5,000	$3,000	01-18-2005
IHB2004-1SA	1	$2,500	$2,500	$1,625	01-18-2005
IHB2004-2FCS	1	$5,000	$5,000	$3,250	01-18-2005
IHB2004-3SA	1	$2,500	$0	$0	01-18-2005
IHB2004-4SA	1	$2,500	$2,500	$1,625	01-18-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
IHB2004-5REM	1	$5,000	$5,000	$3,000	01-18-2005
ISRR2005-1EQ	1	$2,500	$2,500	$2,500	07-29-2005
JEFW2004-1ROP	1	$5,000	$5,000	$5,000	02-08-2005
KCS2003-31TS	10	$25,000	$7,000	$5,250	09-29-2005
KCS2003-52HMT	1	$3,000	$3,000	$2,100	09-29-2005
KCS2003-64ROP	1	$5,000	$5,000	$3,500	09-29-2005
KCS2004-5LI	2	$15,500	$10,500	$6,275	09-29-2005
KCS2004-7TS	1	$1,000	$1,000	$780	09-29-2005
KCS2004-8RW	1	$3,000	$3,000	$2,250	09-29-2005
KCS2004-9HMT	1	$4,000	$4,000	$2,800	09-29-2005
KCS2004-10HMT	9	$13,000	$13,000	$10,140	09-29-2005
KCS2004-11RW	1	$2,000	$2,000	$1,700	09-29-2005
KCS2004-12LI	1	$2,500	$2,500	$1,875	09-29-2005
KCS2004-13LI	1	$2,500	$2,500	$1,825	09-29-2005
KCS2004-14FCS	1	$2,500	$2,500	$1,875	09-29-2005
KCS2004-15HS	1	$1,000	$1,000	$700	09-29-2005
KCS2004-16TS	1	$5,000	$5,000	$3,900	09-29-2005
KCS2004-17RW	4	$4,000	$4,000	$3,000	09-29-2005
KCS2004-18TS	1	$2,500	$2,500	$1,950	09-29-2005
KCS2004-19GC	3	$12,500	$12,500	$9,000	09-29-2005
KCS2004-20FCS	1	$2,500	$0	$0	09-29-2005
KCS2004-21TS	1	$1,000	$1,000	$750	09-29-2005
KCS2004-22ROR	2	$10,000	$10,000	$7,000	09-29-2005
KCS2004-23HS	2	$2,000	$2,000	$1,360	09-29-2005
KCS2004-24LI	1	$2,500	$2,500	$1,925	09-29-2005
KCS2005-1TS	1	$2,500	$2,500	$1,875	09-29-2005
KCS2005-2TS	2	$5,000	$5,000	$3,750	09-29-2005
KCS2005-4TS	1	$2,500	$2,500	$1,750	09-29-2005
KCS2005-5TS	4	$7,000	$7,000	$5,385	09-29-2005
KCS2005-6TS	6	$15,000	$15,000	$11,700	09-29-2005
KCS2005-7TS	2	$5,000	$5,000	$3,825	09-29-2005
KCS2005-13HMT	1	$5,000	$5,000	$3,900	09-29-2005
KCS2005-14SI	1	$5,000	$5,000	$3,500	09-29-2005
KCS2005-15GC	1	$2,500	$2,500	$1,750	09-29-2005
KCS2005-16SA	1	$5,000	$5,000	$3,900	09-29-2005
KCS2005-17TS	1	$1,000	$1,000	$750	09-29-2005
KCS2005-18FCS	1	$5,000	$5,000	$3,900	09-29-2005
KCS2005-19RW	1	$1,000	$1,000	$750	09-29-2005
KCS2005-20LI	2	$5,000	$5,000	$3,825	09-29-2005
KCS2005-23HMT	1	$6,000	$6,000	$1,000	09-29-2005

KCS2005-26FCS	1	$5,000	$5,000	$3,900	09-29-2005
KCT2003-1SI	1	$5,000	$5,000	$5,000	09-09-2005
KCT2003-2SI	1	$1,000	$1,000	$1,000	09-09-2005
KIPZ2004-1RW	2	$6,000	$6,000	$6,000	04-01-2005
KIPZ2004-2RW	1	$2,000	$2,000	$1,400	01-24-2005
KO2005-1GC	1	$2,500	$2,500	$1,500	05-31-2005
LAL2001-1HMT	1	$5,000	$0	$0	03-30-2005
LI2002-2RW	2	$2,000	$2,000	$1,250	01-12-2005
LI2002-4HSR	10	$10,000	$10,000	$6,000	01-12-2005
LI2003-2LI	7	$10,500	$10,500	$6,500	01-12-2005
LI2003-3LI	3	$4,500	$4,500	$2,800	01-12-2005
LI2003-4LI	13	$31,500	$31,500	$19,200	01-12-2005

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Settlement/Final Assessment Date
LI2003-5LI	5	$10,000	$10,000	$6,200	01-12-2005
LI2003-6PEQ	2	$7,500	$7,500	$4,600	01-12-2005
LI2003-8ROR	1	$5,000	$5,000	$3,000	01-12-2005
LI2003-9LI	2	$3,000	$3,000	$1,850	01-12-2005
LI2004-1ROR	2	$5,000	$5,000	$3,150	01-12-2005
LI2004-2LI	6	$21,000	$21,000	$13,000	01-12-2005
LI2004-3LI	3	$13,500	$13,500	$8,400	01-12-2005
LI2004-4LI	5	$15,500	$15,500	$9,600	01-12-2005
MNCW2004-3PEQ	1	$5,000	$5,000	$3,750	12-13-2004
MNCW2004-4ROP	1	$2,000	$2,000	$1,500	12-13-2004
MNCW2004-5PEQ	2	$10,000	$10,000	$7,500	12-13-2004
MRL2002-4HMT	1	$6,000	$6,000	$4,200	12-08-2004
MRL2003-1LI	5	$12,500	$12,500	$6,500	12-08-2004
MRL2003-2TS	5	$20,000	$20,000	$12,000	12-08-2004
MRL2003-3RSP	8	$8,000	$8,000	$4,500	12-08-2004
MRL2003-4HMT	1	$1,000	$1,000	$600	12-08-2004
MRL2003-7RSP	1	$1,000	$1,000	$750	12-08-2004
MRL2003-8LI	1	$2,500	$2,500	$1,500	12-08-2004
MRL2003-9RSP	1	$1,000	$1,000	$750	12-08-2004
MRL2003-10HMT	6	$30,000	$30,000	$15,000	12-08-2004
MRL2003-11TS	1	$5,000	$5,000	$2,500	12-08-2004
MRL2004-1RSP	1	$5,000	$5,000	$2,500	12-08-2004
MRL2004-2SA	1	$2,500	$2,500	$1,800	12-08-2004
MRL2004-3HMT	2	$16,000	$16,000	$10,000	12-08-2004
NCVA2003-1LI	1	$2,500	$2,500	$2,500	10-21-2004
NIRC2004-1EQ	2	$2,000	$2,000	$1,100	09-08-2005
NIRC2004-2HSR	2	$2,000	$2,000	$1,200	09-08-2005
NIRC2004-3HS	1	$1,000	$1,000	$700	09-08-2005
NIRC2004-4HS	2	$2,000	$2,000	$1,000	09-08-2005
NIRC2005-1HSR	1	$1,000	$1,000	$1,000	09-08-2005
NIRC2005-2RSP	1	$5,000	$5,000	$5,000	09-08-2005
NIRC2005-3RSP	1	$5,000	$5,000	$2,000	09-08-2005
NIRC2005-4HS	1	$1,000	$1,000	$1,000	09-15-2005
NJTR2003-3LI	1	$2,500	$2,500	$1,800	01-28-2005
NJTR2004-1ROP	1	$5,000	$5,000	$3,200	01-28-2005
NJTR2004-2ROP	1	$5,000	$5,000	$3,300	01-28-2005
NJTR2004-4SA	1	$5,000	$5,000	$3,200	01-28-2005
NOPB2004-1HMT	1	$1,000	$1,000	$500	01-27-2005
NYA2004-1TS	1	$2,500	$0	$0	12-23-2004

NYSW2004-1LI	1	$2,500	$2,500	$2,500	01-27-2005
OHCR2001-2LI	1	$5,000	$5,000	$3,500	05-26-2005
OHCR2002-1SA	4	$15,000	$15,000	$10,900	05-26-2005
OHCR2003-1FCS	1	$5,000	$5,000	$3,100	05-26-2005
OHCR2003-2FCS	1	$2,500	$2,500	$1,550	05-26-2005
OHCR2003-3AD	1	$2,500	$2,500	$1,500	05-26-2005
OHCR2004-1SA	1	$2,500	$2,500	$1,650	05-26-2005
OHCR2004-2LI	1	$2,500	$2,500	$1,500	05-26-2005
OSRR2002-1SA	1	$5,000	$5,000	$3,200	05-26-2005
OSRR2002-2LI	1	$2,500	$2,500	$1,700	05-26-2005
OSRR2003-1SA	1	$2,500	$2,500	$1,500	05-26-2005
OUCH2003-1TS	5	$12,500	$0	$0	06-06-2005
PARN2002-2HS	1	$1,000	$1,000	$600	06-22-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
PARN2002-3AR	1	$2,500	$0	$0	06-22-2005
PCC2004-1HMT	2	$4,000	$4,000	$2,700	05-31-2005
PCC2004-2SA	1	$2,500	$2,500	$1,500	05-31-2005
PCC2004-3SA	4	$10,000	$10,000	$5,600	05-31-2005
PCC2004-4TS	1	$5,000	$5,000	$2,500	06-03-2005
PCC2004-5SA	1	$5,000	$5,000	$3,000	05-31-2005
PCJX2003-2SI	1	$5,000	$5,000	$3,100	05-20-2005
PCJX2004-1AR	7	$17,500	$17,500	$5,000	05-20-2005
PCJX2004-2HSR	1	$1,000	$1,000	$610	05-20-2005
RADZ2004-1RW	1	$2,500	$2,500	$2,500	04-20-2005
RRVW2000-1SI	2	$10,000	$0	$0	12-20-2004
RRVW2001-1TS	1	$5,000	$5,000	$4,100	12-01-2004
RRVW2002-1SI	1	$2,500	$2,500	$1,850	12-01-2004
RRVW2003-2RW	1	$5,000	$5,000	$3,350	12-01-2004
RSIX2004-1LI	1	$2,500	$2,500	$2,500	11-01-2004
SDTI2003-1SI	1	$1,000	$1,000	$650	01-14-2005
SEPA2004-4AR	6	$12,000	$12,000	$7,500	11-02-2004
SEPA2004-5REM	1	$5,000	$5,000	$3,700	01-10-2005
SEPA2004-6HSR	2	$2,000	$2,000	$1,400	05-12-2005
SERA2002-1AD	12	$30,000	$10,000	$40,000	06-14-2005
SERA2003-1LI	1	$2,500	$2,500	$775	06-14-2005
SKOL2004-1HMT	1	$4,000	$4,000	$2,800	11-15-2004
SKOL2004-2GC	1	$5,000	$5,000	$3,200	11-09-2004
SKOL2004-4GC	1	$5,000	$5,000	$3,000	05-31-2005
SOU2002-34AR	10	$25,000	$0	$0	12-29-2004
SOU2002-35AR	10	$25,000	$0	$0	12-29-2004
SOU2002-42AR	1	$2,500	$0	$0	12-29-2004
SOU2002-68AR	7	$17,500	$0	$0	12-29-2004
SOU2002-69AR	8	$20,000	$0	$0	12-29-2004
SOU2002-70AR	2	$5,000	$0	$0	12-29-2004
SOU2003-31AR	1	$2,500	$2,500	$1,550	09-30-2005
SOU2003-164AR	4	$10,000	$10,000	$6,475	09-30-2005
SOU2003-199HS	8	$8,000	$8,000	$5,775	09-30-2005
SOU2003-200HS	4	$4,000	$4,000	$2,900	09-30-2005
SOU2003-202AR	10	$25,000	$20,000	$12,525	09-30-2005
SOU2003-206AR	3	$7,500	$7,500	$1,500	09-30-2005
SOU2004-32AD	4	$16,000	$16,000	$10,300	09-30-2005
SOU2004-40EQ	2	$8,000	$8,000	$6,000	09-30-2005

SOU2004-56AR	2	$5,000	$5,000	$3,350	09-30-2005
SOU2004-64RW	2	$2,000	$2,000	$1,400	09-30-2005
SOU2004-66SA	5	$25,000	$25,000	$17,000	09-30-2005
SOU2004-68TS	1	$2,000	$2,000	$1,400	09-30-2005
SOU2004-70FCS	1	$2,500	$2,500	$1,750	09-30-2005
SOU2004-71SA	1	$2,500	$2,500	$1,750	09-30-2005
SOU2004-72LI	6	$16,500	$16,500	$11,650	09-30-2005
SOU2004-73FCS	2	$7,500	$7,500	$5,300	09-30-2005
SOU2004-74SA	8	$32,500	$32,500	$22,500	09-30-2005
SOU2004-75FCS	2	$5,000	$2,500	$1,750	09-30-2005
SOU2004-76LI	2	$8,500	$8,500	$5,800	09-30-2005
SOU2004-77RW	1	$1,000	$1,000	$700	09-30-2005
SOU2004-78HMT	3	$12,000	$12,000	$8,050	09-30-2005
SOU2004-79SA	7	$20,000	$20,000	$14,050	09-30-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
SOU2004-80SA	7	$20,000	$20,000	$13,950	09-30-2005
SOU2004-81FCS	6	$17,500	$17,500	$12,300	09-30-2005
SOU2004-82HMT	2	$7,000	$2,000	$1,360	09-30-2005
SOU2004-83SA	1	$5,000	$5,000	$5,000	09-30-2005
SOU2004-84LI	7	$28,500	$28,500	$19,225	09-30-2005
SOU2004-85AD	3	$7,000	$7,000	$4,500	09-30-2005
SOU2004-86FCS	1	$2,500	$2,500	$1,800	09-30-2005
SOU2004-87HMT	1	$7,500	$7,500	$4,500	09-30-2005
SOU2004-88SA	3	$12,500	$12,500	$8,625	09-30-2005
SOU2004-89LI	1	$2,500	$2,500	$1,850	09-30-2005
SOU2004-91HMT	8	$17,000	$17,000	$12,450	09-30-2005
SOU2004-92FCS	2	$5,000	$5,000	$3,375	09-30-2005
SOU2004-93SA	1	$2,500	$2,500	$1,700	09-30-2005
SOU2004-94FCS	4	$10,000	$10,000	$7,125	09-30-2005
SOU2004-95SA	3	$15,000	$15,000	$10,300	09-30-2005
SOU2004-96FCS	4	$12,500	$12,500	$8,650	09-30-2005
SOU2004-97AR	2	$5,000	$5,000	$2,750	09-30-2005
SOU2004-99RW	1	$1,000	$1,000	$675	09-30-2005
SOU2004-100TS	1	$5,000	$5,000	$3,650	09-30-2005
SOU2004-101HMT	3	$15,000	$10,000	$5,500	09-30-2005
SOU2004-102FCS	7	$22,500	$22,500	$15,425	09-30-2005
SOU2004-103LI	4	$10,000	$10,000	$6,625	09-30-2005
SOU2004-104SA	3	$10,000	$10,000	$7,250	09-30-2005
SOU2004-105FCS	2	$5,000	$5,000	$3,500	09-30-2005
SOU2004-106SA	4	$17,500	$17,500	$12,250	09-30-2005
SOU2004-108RW	2	$2,000	$2,000	$1,400	09-30-2005
SOU2004-109EQ	2	$3,500	$3,500	$2,500	09-30-2005
SOU2004-110HMT	8	$31,000	$31,000	$16,500	09-30-2005
SOU2004-111LI	7	$21,500	$21,500	$14,860	09-30-2005
SOU2004-112SA	2	$7,500	$7,500	$5,400	09-30-2005
SOU2004-113RW	3	$3,000	$3,000	$2,100	09-30-2005
SOU2004-114ROP	1	$5,000	$5,000	$3,250	09-30-2005
SOU2004-115SI	1	$5,000	$5,000	$4,000	09-30-2005
SOU2004-116FCS	1	$5,000	$5,000	$3,600	09-30-2005
SOU2004-117HMT	6	$25,000	$25,000	$16,250	09-30-2005
SOU2004-118RW	4	$8,000	$8,000	$6,100	09-30-2005
SOU2004-119HS	2	$2,000	$2,000	$1,400	09-30-2005

SOU2004-120HSR	3	$3,000	$3,000	$2,000	09-30-2005
SOU2004-121FCS	4	$12,500	$12,500	$8,900	09-30-2005
SOU2004-122SA	8	$27,500	$27,500	$18,625	09-30-2005
SOU2004-123SA	8	$27,500	$27,500	$17,100	09-30-2005
SOU2004-124GC	1	$2,500	$2,500	$2,000	09-30-2005
SOU2004-125SI	2	$5,000	$5,000	$4,000	09-30-2005
SOU2004-126RW	1	$1,000	$1,000	$700	09-30-2005
SOU2004-127LI	3	$10,000	$10,000	$6,675	09-30-2005
SOU2004-128HMT	10	$10,000	$10,000	$6,300	09-30-2005
SOU2004-129SI	1	$5,000	$5,000	$3,750	09-30-2005
SOU2004-130FCS	1	$2,500	$2,500	$1,750	09-30-2005
SOU2004-131HMT	1	$1,000	$1,000	$1,000	09-30-2005
SOU2004-132LI	1	$2,500	$2,500	$1,625	09-30-2005
SOU2004-134HMT	2	$8,000	$8,000	$5,450	09-30-2005
SOU2004-136SA	2	$7,500	$7,500	$5,225	09-30-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
SOU2004-137FCS	2	$5,000	$5,000	$3,600	09-30-2005
SOU2004-138TS	1	$5,000	$5,000	$3,650	09-30-2005
SOU2004-139SA	9	$32,500	$32,500	$22,950	09-30-2005
SOU2004-140SA	5	$17,500	$17,500	$12,225	09-30-2005
SOU2004-141FCS	5	$12,500	$12,500	$8,750	09-30-2005
SOU2004-142FCS	3	$10,000	$10,000	$7,100	09-30-2005
SOU2004-143FCS	5	$12,500	$12,500	$9,375	09-30-2005
SOU2004-144FCS	5	$12,500	$12,500	$9,375	09-30-2005
SOU2004-145FCS	4	$9,500	$9,500	$6,650	09-30-2005
SOU2004-146LI	6	$16,000	$16,000	$11,175	09-30-2005
SOU2004-147RW	3	$3,000	$3,000	$2,100	09-30-2005
SOU2004-148HMT	9	$49,500	$49,500	$32,500	09-30-2005
SOU2004-149FCS	2	$5,000	$5,000	$3,575	09-30-2005
SOU2004-150LI	1	$2,500	$2,500	$1,750	09-30-2005
SOU2004-151SA	4	$10,000	$10,000	$6,900	09-30-2005
SOU2004-152SA	1	$5,000	$5,000	$3,750	09-30-2005
SOU2004-153TS	1	$1,000	$1,000	$750	09-30-2005
SOU2004-154HSR	2	$2,000	$2,000	$1,450	09-30-2005
SOU2004-155HS	2	$2,000	$2,000	$1,350	09-30-2005
SOU2004-156SA	1	$2,500	$2,500	$1,875	09-30-2005
SOU2004-157LI	4	$10,000	$10,000	$7,250	09-30-2005
SOU2004-158TS	1	$2,500	$2,500	$1,875	09-30-2005
SOU2004-159FCS	5	$12,500	$12,500	$8,750	09-30-2005
SOU2004-160SA	3	$7,500	$7,500	$5,175	09-30-2005
SOU2004-161SA	3	$15,000	$15,000	$11,000	09-30-2005
SOU2004-162AR	2	$5,000	$5,000	$1,750	09-30-2005
SOU2005-1HMT	1	$5,000	$5,000	$3,750	09-30-2005
SOU2005-2SA	2	$5,000	$5,000	$3,750	09-30-2005
SOU2005-3FCS	1	$2,500	$2,500	$1,750	09-30-2005
SOU2005-4FCS	6	$12,500	$12,500	$8,600	09-30-2005
SOU2005-5SI	1	$1,000	$1,000	$550	09-30-2005
SOU2005-7HSR	1	$1,000	$1,000	$725	09-30-2005
SOU2005-8AR	1	$2,500	$0	$0	09-30-2005
SOU2005-10ROP	1	$3,000	$3,000	$1,970	09-30-2005
SOU2005-11FCS	1	$5,000	$5,000	$3,600	09-30-2005
SOU2005-12LI	2	$5,000	$5,000	$3,700	09-30-2005

SOU2005-14RW	2	$10,000	$10,000	$7,200	09-30-2005
SOU2005-15AD	1	$5,000	$5,000	$3,500	09-30-2005
SOU2005-16SI	1	$1,000	$1,000	$750	09-30-2005
SOU2005-17TS	1	$1,000	$1,000	$750	09-30-2005
SOU2005-18HMT	5	$29,000	$29,000	$19,550	09-30-2005
SOU2005-19HMT	8	$45,500	$45,500	$28,725	09-30-2005
SOU2005-20LI	3	$7,500	$7,500	$5,375	09-30-2005
SOU2005-21AD	1	$5,000	$5,000	$3,000	09-30-2005
SOU2005-22FCS	7	$22,500	$22,500	$15,750	09-30-2005
SOU2005-23SA	7	$22,500	$22,500	$16,425	09-30-2005
SOU2005-24RW	1	$1,000	$1,000	$700	09-30-2005
SOU2005-25SA	3	$10,000	$10,000	$7,500	09-30-2005
SOU2005-26FCS	2	$5,000	$5,000	$3,500	09-30-2005
SOU2005-27HMT	1	$5,000	$5,000	$3,750	09-30-2005
SOU2005-28ROP	1	$5,000	$5,000	$3,750	09-30-2005
SOU2005-29HSR	3	$3,000	$3,000	$2,175	09-30-2005

Date:01/04/06

Page No.: 17

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
SOU2005-30HS	4	$4,000	$4,000	$2,800	09-30-2005
SOU2005-31LI	2	$7,000	$7,000	$5,000	09-30-2005
SOU2005-32AR	4	$10,000	$10,000	$7,500	09-30-2005
SOU2005-33HSR	1	$1,000	$1,000	$700	09-30-2005
SOU2005-34HS	1	$2,000	$2,000	$1,700	09-30-2005
SOU2005-35RW	1	$3,000	$3,000	$2,100	09-30-2005
SOU2005-36SA	7	$27,500	$27,500	$19,250	09-30-2005
SOU2005-37TS	1	$2,500	$2,500	$1,875	09-30-2005
SOU2005-38SA	5	$17,500	$15,000	$10,000	09-30-2005
SOU2005-39SA	2	$5,000	$5,000	$3,500	09-30-2005
SOU2005-40HMT	1	$5,000	$5,000	$3,000	09-30-2005
SOU2005-41FCS	5	$12,500	$12,500	$9,000	09-30-2005
SOU2005-42HMT	1	$4,000	$4,000	$3,000	09-30-2005
SOU2005-43HMT	1	$5,000	$5,000	$2,875	09-30-2005
SOU2005-44TS	1	$5,000	$5,000	$3,750	09-30-2005
SOU2005-46SA	2	$5,000	$5,000	$3,500	09-30-2005
SOU2005-47SI	1	$5,000	$5,000	$4,000	09-30-2005
SOU2005-49LI	8	$20,500	$20,500	$14,965	09-30-2005
SOU2005-50SA	1	$7,500	$7,500	$6,000	09-30-2005
SOU2005-53RW	3	$3,000	$3,000	$1,650	09-30-2005
SS2003-1HMT	2	$15,000	$0	$0	12-01-2004
ST2004-2SA	1	$5,000	$5,000	$3,400	09-21-2005
ST2004-3FCS	1	$10,000	$10,000	$7,100	09-21-2005
TIBR2004-1TS	1	$5,000	$5,000	$3,500	12-29-2004
TIBR2005-1TS	1	$2,000	$2,000	$1,400	05-31-2005
TM2003-6SA	1	$2,500	$2,500	$1,450	01-26-2005
TM2003-7SA	1	$5,000	$5,000	$2,900	01-26-2005
TM2003-8TS	1	$1,000	$1,000	$650	01-26-2005
TM2003-9HMT	2	$7,500	$7,500	$5,625	01-26-2005
TM2003-10FCS	4	$15,000	$15,000	$8,650	02-02-2005
TM2004-1FCS	1	$7,500	$7,500	$4,375	01-26-2005
TM2004-2TS	1	$2,500	$2,500	$1,725	01-26-2005
TM2004-3HMT	1	$2,500	$2,500	$1,750	01-27-2005
TM2004-4SA	2	$10,000	$10,000	$6,200	09-29-2005
TM2005-1FCS	1	$5,000	$5,000	$3,100	09-29-2005

TM2005-2FCS	1	$2,500	$0	$0	09-29-2005
UP2003-29TS	3	$3,000	$3,000	$2,100	09-28-2005
UP2003-286SA	4	$25,000	$25,000	$17,500	09-28-2005
UP2003-291AR	1	$2,500	$2,500	$1,625	09-28-2005
UP2003-307SI	1	$5,000	$5,000	$3,500	09-28-2005
UP2003-328SA	5	$15,000	$15,000	$10,500	09-28-2005
UP2003-338HMT	7	$44,500	$44,500	$33,375	09-28-2005
UP2003-346AR	1	$2,500	$2,500	$2,250	09-28-2005
UP2003-350HMT	3	$13,500	$13,500	$11,075	09-28-2005
UP2003-360AR	1	$2,500	$2,500	$1,550	09-28-2005
UP2003-361HMT	16	$69,500	$69,500	$38,995	09-28-2005
UP2003-363AR	2	$5,000	$5,000	$3,300	09-28-2005
UP2003-367HSR	1	$1,000	$1,000	$680	09-28-2005
UP2003-370SA	2	$7,500	$7,500	$5,100	09-28-2005
UP2003-372TS	3	$7,500	$7,500	$5,500	09-28-2005
UP2003-378SA	3	$7,500	$7,500	$5,375	09-28-2005
UP2003-380LI	4	$10,000	$10,000	$5,000	09-28-2005

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
UP2003-385RW	5	$8,500	$6,000	$4,350	09-28-2005
UP2003-392TS	1	$2,500	$2,500	$1,750	09-28-2005
UP2003-396RW	5	$7,000	$7,000	$5,000	09-28-2005
UP2003-397HS	1	$1,000	$1,000	$730	09-28-2005
UP2003-398LI	2	$2,000	$2,000	$1,200	09-28-2005
UP2003-402SA	1	$2,500	$2,500	$1,500	09-28-2005
UP2004-2FCS	3	$12,500	$12,500	$9,400	09-28-2005
UP2004-4TS	5	$15,000	$15,000	$10,500	09-28-2005
UP2004-8SA	3	$12,500	$10,000	$8,000	09-28-2005
UP2004-10LI	3	$4,500	$4,500	$3,000	09-28-2005
UP2004-12LI	2	$5,000	$5,000	$3,500	09-28-2005
UP2004-14SA	3	$10,000	$10,000	$6,900	09-28-2005
UP2004-15TS	4	$15,000	$15,000	$10,750	09-28-2005
UP2004-16HMT	8	$44,500	$44,500	$35,600	09-28-2005
UP2004-18SI	2	$5,000	$5,000	$3,000	09-28-2005
UP2004-20AR	3	$10,000	$7,500	$5,750	09-28-2005
UP2004-21SA	4	$15,000	$15,000	$11,100	09-28-2005
UP2004-25TS	4	$7,000	$7,000	$5,300	09-28-2005
UP2004-26HMT	6	$30,000	$30,000	$20,250	09-28-2005
UP2004-27HMT	1	$6,000	$6,000	$5,100	09-28-2005
UP2004-28AR	9	$22,500	$20,000	$13,050	09-28-2005
UP2004-30AR	5	$12,500	$10,000	$6,400	09-28-2005
UP2004-31FCS	1	$5,000	$5,000	$3,500	09-28-2005
UP2004-32RW	3	$5,000	$5,000	$3,500	09-28-2005
UP2004-33GC	1	$5,000	$5,000	$4,250	09-28-2005
UP2004-35FCS	5	$12,500	$12,500	$9,300	09-28-2005
UP2004-36SA	5	$17,500	$17,500	$12,250	09-28-2005
UP2004-38SA	4	$10,000	$10,000	$7,300	09-28-2005
UP2004-40HMT	2	$10,500	$10,500	$7,500	09-28-2005
UP2004-41HMT	5	$21,000	$21,000	$15,750	09-28-2005
UP2004-42HMT	4	$13,000	$8,000	$6,950	09-28-2005
UP2004-44SI	1	$5,000	$5,000	$3,500	09-28-2005
UP2004-46SA	6	$17,500	$17,500	$12,500	09-28-2005
UP2004-47FCS	1	$2,500	$2,500	$1,900	09-28-2005

UP2004-48HMT	1	$5,000	$5,000	$3,500	09-28-2005
UP2004-49TS	15	$15,000	$15,000	$12,000	09-28-2005
UP2004-50GC	1	$5,000	$5,000	$3,750	09-28-2005
UP2004-51FCS	3	$10,000	$10,000	$7,000	09-28-2005
UP2004-52EP	1	$1,000	$1,000	$660	09-28-2005
UP2004-53RW	1	$1,000	$1,000	$700	09-28-2005
UP2004-54LI	11	$25,500	$25,500	$16,500	09-28-2005
UP2004-55EQ	1	$2,000	$2,000	$1,500	09-28-2005
UP2004-56SA	3	$12,500	$10,000	$7,500	09-28-2005
UP2004-57ROP	1	$5,000	$5,000	$4,200	09-28-2005
UP2004-58FCS	2	$5,000	$5,000	$3,750	09-28-2005
UP2004-59SI	1	$2,500	$2,500	$2,125	09-28-2005
UP2004-60RSP	2	$2,000	$2,000	$1,500	09-28-2005
UP2004-61HS	3	$3,000	$3,000	$2,200	09-28-2005
UP2004-62AD	2	$10,000	$10,000	$7,200	09-28-2005
UP2004-63ROP	2	$7,000	$7,000	$4,500	09-28-2005
UP2004-64FCS	3	$7,500	$7,500	$4,700	09-28-2005
UP2004-65FCS	1	$5,000	$5,000	$4,000	09-28-2005

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
UP2004-66SA	3	$10,000	$10,000	$6,400	09-28-2005
UP2004-67SA	5	$17,500	$17,500	$12,750	09-28-2005
UP2004-68LI	7	$21,000	$21,000	$14,700	09-28-2005
UP2004-69SA	3	$7,500	$7,500	$4,500	09-28-2005
UP2004-70SA	4	$10,000	$10,000	$6,875	09-28-2005
UP2004-71HMT	2	$8,000	$8,000	$6,000	09-28-2005
UP2004-72FCS	1	$2,500	$2,500	$1,900	09-28-2005
UP2004-73HMT	1	$2,000	$2,000	$1,500	09-28-2005
UP2004-74TS	7	$17,500	$17,500	$13,000	09-28-2005
UP2004-75RW	2	$2,000	$2,000	$1,300	09-28-2005
UP2004-76LI	1	$2,500	$0	$0	09-28-2005
UP2004-77SA	1	$2,500	$2,500	$1,500	09-28-2005
UP2004-78HMT	1	$1,000	$1,000	$600	09-28-2005
UP2004-79HMT	4	$27,000	$27,000	$20,000	09-28-2005
UP2004-80SA	5	$12,500	$12,500	$7,900	09-28-2005
UP2004-81TS	1	$5,000	$5,000	$3,700	09-28-2005
UP2004-82FCS	4	$10,000	$10,000	$5,400	09-28-2005
UP2004-83HMT	1	$6,000	$6,000	$4,800	09-28-2005
UP2004-84HS	2	$2,000	$2,000	$1,530	09-28-2005
UP2004-85AR	1	$2,500	$2,500	$1,650	09-28-2005
UP2004-86HSR	1	$1,000	$1,000	$700	09-28-2005
UP2004-87ROP	1	$5,000	$5,000	$3,400	09-28-2005
UP2004-88HMT	1	$1,000	$1,000	$850	09-28-2005
UP2004-89TS	4	$15,000	$15,000	$11,500	09-28-2005
UP2004-90TS	2	$5,000	$2,500	$2,000	09-28-2005
UP2004-91TS	4	$12,500	$12,500	$9,400	09-28-2005
UP2004-92TS	1	$1,000	$1,000	$680	09-28-2005
UP2004-93TS	2	$7,500	$5,000	$4,000	09-28-2005
UP2004-94TS	6	$30,000	$30,000	$24,600	09-28-2005
UP2004-95HMT	4	$13,500	$13,500	$10,550	09-28-2005
UP2004-96RSP	1	$5,000	$5,000	$4,000	09-28-2005
UP2004-97LI	1	$5,000	$5,000	$4,000	09-28-2005
UP2004-98FCS	1	$2,500	$2,500	$1,900	09-28-2005

UP2004-99SA	9	$37,500	$37,500	$28,000	09-28-2005
UP2004-100HMT	6	$6,000	$6,000	$4,800	09-28-2005
UP2004-101HMT	3	$15,500	$15,500	$11,500	09-28-2005
UP2004-102HMT	1	$5,000	$5,000	$3,750	09-28-2005
UP2004-103TS	5	$22,500	$22,500	$15,875	09-28-2005
UP2004-104FCS	3	$7,500	$7,500	$4,900	09-28-2005
UP2004-105FCS	1	$5,000	$0	$0	09-28-2005
UP2004-106FCS	1	$2,500	$2,500	$1,900	09-28-2005
UP2004-107HS	8	$8,000	$8,000	$4,800	09-28-2005
UP2004-108AR	3	$7,500	$5,000	$3,000	09-28-2005
UP2004-109SA	5	$15,000	$15,000	$11,750	09-28-2005
UP2004-110SA	7	$27,500	$25,000	$15,200	09-28-2005
UP2004-111LI	8	$35,500	$32,500	$20,700	09-28-2005
UP2004-112HMT	7	$37,000	$29,500	$23,600	09-28-2005
UP2004-113HMT	5	$21,000	$21,000	$16,000	09-28-2005
UP2004-114LI	9	$28,500	$27,000	$18,300	09-28-2005
UP2004-115LI	5	$31,000	$31,000	$19,700	09-28-2005
UP2004-116RW	1	$1,000	$1,000	$680	09-28-2005
UP2004-119RSP	1	$5,000	$5,000	$4,000	09-28-2005

Date:01/04/06

Page No.: 20

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
UP2004-120AD	2	$5,000	$5,000	$3,500	09-28-2005
UP2004-121EQ	1	$2,500	$2,500	$1,800	09-28-2005
UP2004-122GC	2	$10,000	$10,000	$9,000	09-28-2005
UP2004-123TS	1	$2,000	$2,000	$1,600	09-28-2005
UP2004-124HMT	2	$3,000	$3,000	$2,450	09-28-2005
UP2004-125RSP	4	$9,500	$9,500	$6,900	09-28-2005
UP2004-126AR	7	$17,500	$17,500	$10,500	09-28-2005
UP2004-127HS	1	$1,000	$1,000	$730	09-28-2005
UP2004-128HSR	3	$3,000	$3,000	$2,100	09-28-2005
UP2004-129HMT	2	$3,000	$3,000	$2,450	09-28-2005
UP2004-131SA	5	$12,500	$12,500	$9,125	09-28-2005
UP2004-132HMT	7	$27,000	$27,000	$18,900	09-28-2005
UP2004-133FCS	3	$12,500	$12,500	$9,000	09-28-2005
UP2004-134LI	5	$15,000	$15,000	$11,000	09-28-2005
UP2004-135RW	3	$3,000	$3,000	$1,975	09-28-2005
UP2004-136HMT	1	$2,000	$2,000	$1,200	09-28-2005
UP2004-137HMT	1	$7,500	$7,500	$6,000	09-28-2005
UP2004-138LI	2	$12,500	$12,500	$9,500	09-28-2005
UP2004-139HMT	1	$2,500	$2,500	$1,750	09-28-2005
UP2004-140SA	2	$7,500	$7,500	$4,900	09-28-2005
UP2004-141AR	1	$2,500	$2,500	$1,750	09-28-2005
UP2004-142HS	4	$4,000	$4,000	$2,900	09-28-2005
UP2004-144ROP	1	$5,000	$5,000	$3,500	09-28-2005
UP2004-145RSP	2	$6,000	$6,000	$3,900	09-28-2005
UP2004-146HMT	7	$26,000	$26,000	$19,500	09-28-2005
UP2004-147HS	6	$6,000	$6,000	$4,330	09-28-2005
UP2004-148GC	1	$5,000	$5,000	$4,000	09-28-2005
UP2004-149FCS	5	$17,500	$17,500	$10,600	09-28-2005
UP2004-150HMT	2	$2,000	$2,000	$1,600	09-28-2005
UP2004-151SA	6	$25,000	$17,500	$12,500	09-28-2005
UP2004-152LI	9	$23,000	$23,000	$15,500	09-28-2005
UP2004-153RW	2	$2,000	$2,000	$1,300	09-28-2005

UP2004-154SA	6	$17,500	$15,000	$10,500	09-28-2005
UP2004-155HS	1	$1,000	$1,000	$750	09-28-2005
UP2004-156RW	2	$5,000	$5,000	$3,500	09-28-2005
UP2004-157SA	3	$10,000	$10,000	$6,500	09-28-2005
UP2004-158TS	3	$5,500	$5,500	$4,075	09-28-2005
UP2004-159HS	2	$2,000	$2,000	$1,560	09-28-2005
UP2004-160HSR	10	$10,000	$10,000	$7,500	09-28-2005
UP2004-161HMT	5	$23,500	$23,500	$15,000	09-28-2005
UP2004-162TS	3	$6,000	$6,000	$4,800	09-28-2005
UP2004-163TS	7	$17,000	$17,000	$12,000	09-28-2005
UP2004-164TS	26	$77,500	$77,500	$54,875	09-28-2005
UP2004-165FCS	5	$20,000	$20,000	$14,200	09-28-2005
UP2004-166RW	4	$9,000	$6,000	$5,100	09-28-2005
UP2004-167LI	12	$14,000	$14,000	$8,800	09-28-2005
UP2004-168EQ	1	$5,000	$5,000	$3,250	09-28-2005
UP2004-169HMT	3	$15,000	$15,000	$10,000	09-28-2005
UP2004-170SA	2	$15,000	$15,000	$7,200	09-28-2005
UP2004-171HMT	3	$7,500	$7,500	$5,250	09-28-2005
UP2004-172HMT	1	$2,500	$2,500	$1,750	09-28-2005
UP2004-173FCS	1	$2,500	$2,500	$1,875	09-28-2005

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
UP2004-174LI	3	$12,500	$12,500	$9,200	09-28-2005
UP2004-175SA	4	$11,000	$11,000	$7,400	09-28-2005
UP2004-176SI	1	$7,500	$7,500	$7,000	09-28-2005
UP2004-177HS	2	$2,000	$2,000	$1,350	09-28-2005
UP2004-178SA	7	$22,500	$22,500	$14,000	09-28-2005
UP2004-179SA	7	$20,000	$17,500	$13,575	09-28-2005
UP2004-180ROP	2	$10,000	$10,000	$6,600	09-28-2005
UP2004-181FCS	5	$18,500	$18,500	$11,000	09-28-2005
UP2004-182TS	7	$21,000	$21,000	$14,000	09-28-2005
UP2004-183TS	2	$3,500	$3,500	$2,150	09-28-2005
UP2004-184SA	2	$10,000	$10,000	$7,400	09-28-2005
UP2004-185ROP	2	$10,000	$10,000	$6,000	09-28-2005
UP2004-186FCS	1	$5,000	$5,000	$4,100	09-28-2005
UP2004-187LI	8	$30,500	$30,500	$21,000	09-28-2005
UP2004-188RW	1	$1,000	$1,000	$680	09-28-2005
UP2004-189TS	1	$7,000	$7,000	$5,600	09-28-2005
UP2004-190TS	1	$1,000	$1,000	$750	09-28-2005
UP2004-191TS	1	$1,000	$1,000	$750	09-28-2005
UP2004-192FCS	2	$5,000	$5,000	$3,000	09-28-2005
UP2004-193SA	7	$30,000	$30,000	$24,000	09-28-2005
UP2004-194SA	6	$22,500	$22,500	$15,300	09-28-2005
UP2004-196SI	1	$1,000	$1,000	$800	09-28-2005
UP2004-197FCS	1	$2,500	$2,500	$1,900	09-28-2005
UP2004-198LI	8	$11,000	$11,000	$7,250	09-28-2005
UP2004-199EQ	1	$5,000	$5,000	$3,250	09-28-2005
UP2004-200EP	1	$1,000	$1,000	$660	09-28-2005
UP2004-201ROR	1	$5,000	$5,000	$3,750	09-28-2005
UP2004-202REM	1	$5,000	$5,000	$3,800	09-28-2005
UP2004-203HMT	1	$5,000	$5,000	$4,250	09-28-2005
UP2004-204AR	3	$7,500	$5,000	$2,375	09-28-2005
UP2004-205HS	2	$2,000	$2,000	$1,200	09-28-2005

UP2004-206RSP	2	$2,000	$2,000	$1,500	09-28-2005
UP2004-207HMT	2	$15,000	$15,000	$11,250	09-28-2005
UP2004-208SA	5	$12,500	$12,500	$7,500	09-28-2005
UP2004-209TS	1	$7,000	$7,000	$5,600	09-28-2005
UP2004-210RSP	14	$18,000	$18,000	$12,600	09-28-2005
UP2004-211TS	1	$5,000	$5,000	$4,000	09-28-2005
UP2004-212SA	9	$40,000	$40,000	$26,750	09-28-2005
UP2004-213FCS	5	$21,000	$18,500	$13,600	09-28-2005
UP2004-214ROP	2	$7,000	$7,000	$4,000	09-28-2005
UP2004-215SI	3	$7,500	$7,500	$6,000	09-28-2005
UP2004-216SA	3	$20,000	$15,000	$9,000	09-28-2005
UP2004-218HMT	5	$25,000	$25,000	$16,250	09-28-2005
UP2004-219TS	1	$1,000	$1,000	$700	09-28-2005
UP2004-220RSP	4	$7,000	$7,000	$4,600	09-28-2005
UP2004-221LI	5	$30,000	$30,000	$20,000	09-28-2005
UP2004-222LI	6	$18,000	$18,000	$11,500	09-28-2005
UP2004-223AR	4	$10,000	$10,000	$6,500	09-28-2005
UP2004-225EQ	1	$5,000	$5,000	$4,000	09-28-2005
UP2004-226LI	1	$2,000	$2,000	$1,200	09-28-2005
UP2004-227RSP	1	$5,000	$5,000	$3,500	09-28-2005
UP2004-228ROP	1	$5,000	$5,000	$3,700	09-28-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
UP2004-229TS	4	$15,000	$15,000	$10,950	09-28-2005
UP2004-230EQ	1	$5,000	$5,000	$3,850	09-28-2005
UP2004-231SI	2	$7,500	$2,500	$1,750	09-28-2005
UP2004-232FCS	1	$2,500	$2,500	$1,800	09-28-2005
UP2004-235HMT	1	$1,000	$1,000	$750	09-28-2005
UP2004-236TS	3	$10,000	$10,000	$7,500	09-28-2005
UP2004-237RW	2	$2,000	$2,000	$1,350	09-28-2005
UP2004-238RW	1	$1,000	$1,000	$680	09-28-2005
UP2004-239EQ	1	$2,500	$2,500	$2,000	09-28-2005
UP2004-240SI	2	$10,000	$10,000	$6,500	09-28-2005
UP2004-241HMT	4	$20,000	$20,000	$14,000	09-28-2005
UP2004-242HMT	3	$10,000	$10,000	$7,000	09-28-2005
UP2004-243HMT	2	$7,500	$7,500	$5,000	09-28-2005
UP2004-244TS	2	$7,500	$7,500	$5,400	09-28-2005
UP2004-245LI	7	$30,000	$30,000	$21,800	09-28-2005
UP2004-246AD	6	$15,000	$15,000	$12,000	09-28-2005
UP2004-247GC	2	$10,000	$10,000	$8,500	09-28-2005
UP2004-248SI	1	$2,500	$2,500	$2,000	09-28-2005
UP2004-249FCS	6	$17,500	$17,500	$14,100	09-28-2005
UP2004-250HS	1	$1,000	$1,000	$750	09-28-2005
UP2004-251AR	1	$2,500	$2,500	$2,000	09-28-2005
UP2004-252RW	1	$1,000	$1,000	$680	09-28-2005
UP2004-253SA	7	$20,000	$20,000	$12,500	09-28-2005
UP2004-254SA	6	$18,500	$16,000	$10,025	09-28-2005
UP2004-255FCS	1	$2,500	$2,500	$1,800	09-28-2005
UP2004-256HMT	2	$6,500	$6,500	$5,400	09-28-2005
UP2004-257SA	7	$30,000	$30,000	$20,355	09-28-2005
UP2004-258SA	6	$30,000	$20,000	$16,125	09-28-2005
UP2004-259SA	7	$20,000	$17,500	$10,750	09-28-2005
UP2004-260GS	1	$1,000	$1,000	$650	09-28-2005

UP2004-261ROP	1	$5,000	$5,000	$3,600	09-28-2005
UP2004-262ROR	1	$5,000	$5,000	$3,000	09-28-2005
UP2004-263SI	2	$2,000	$2,000	$1,700	09-28-2005
UP2004-264FCS	2	$7,500	$7,500	$4,500	09-28-2005
UP2004-265REM	3	$6,000	$6,000	$4,100	09-28-2005
UP2004-266RSP	2	$10,000	$0	$0	09-28-2005
UP2004-267HMT	1	$1,000	$1,000	$850	09-28-2005
UP2004-268TS	1	$5,000	$5,000	$4,000	09-28-2005
UP2004-269TS	3	$10,000	$10,000	$7,250	09-28-2005
UP2004-270SA	4	$20,000	$17,500	$11,000	09-28-2005
UP2004-271HMT	1	$2,500	$2,500	$1,500	09-28-2005
UP2004-272HS	2	$2,000	$2,000	$1,500	09-28-2005
UP2004-273GC	1	$5,000	$5,000	$4,250	09-28-2005
UP2004-274FCS	2	$5,000	$5,000	$3,800	09-28-2005
UP2004-275LI	5	$14,500	$14,500	$10,000	09-28-2005
UP2004-276AD	4	$8,500	$8,500	$5,400	09-28-2005
UP2004-278HSR	5	$5,000	$5,000	$4,000	09-28-2005
UP2004-279TS	2	$5,000	$5,000	$3,650	09-28-2005
UP2004-280HMT	4	$18,000	$13,000	$8,000	09-28-2005
UP2004-281HMT	5	$27,500	$27,500	$22,265	09-28-2005
UP2004-282SA	9	$45,000	$45,000	$29,000	09-28-2005
UP2004-283SA	7	$25,000	$22,500	$15,000	09-28-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
UP2004-284HMT	1	$1,000	$1,000	$850	09-28-2005
UP2004-285FCS	2	$5,000	$5,000	$3,800	09-28-2005
UP2004-286SA	7	$22,500	$20,000	$12,250	09-28-2005
UP2004-287FCS	3	$7,500	$7,500	$5,700	09-28-2005
UP2004-288HMT	1	$10,000	$10,000	$8,000	09-28-2005
UP2004-291SA	1	$2,500	$2,500	$2,000	09-28-2005
UP2004-292HS	2	$2,000	$2,000	$1,200	09-28-2005
UP2004-293HMT	1	$15,000	$15,000	$12,750	09-28-2005
UP2004-294TS	3	$7,000	$7,000	$5,430	09-28-2005
UP2004-295TS	3	$4,500	$4,500	$3,250	09-28-2005
UP2004-296SA	1	$5,000	$5,000	$4,000	09-28-2005
UP2004-297RSP	2	$2,000	$2,000	$1,000	09-28-2005
UP2004-298HMT	2	$4,000	$4,000	$3,000	09-28-2005
UP2004-299GC	1	$5,000	$5,000	$4,250	09-28-2005
UP2005-1SA	4	$15,000	$15,000	$11,250	09-28-2005
UP2005-2LI	7	$14,000	$14,000	$9,700	09-28-2005
UP2005-3SA	7	$27,500	$25,000	$19,625	09-28-2005
UP2005-4REM	1	$2,500	$2,500	$1,700	09-28-2005
UP2005-5FCS	2	$5,000	$5,000	$2,375	09-28-2005
UP2005-7ROP	2	$7,500	$7,500	$5,200	09-28-2005
UP2005-8SA	9	$32,500	$30,000	$22,375	09-28-2005
UP2005-9SA	8	$20,000	$20,000	$13,000	09-28-2005
UP2005-10SI	1	$2,500	$2,500	$1,875	09-28-2005
UP2005-11HS	3	$3,000	$3,000	$1,950	09-28-2005
UP2005-12SA	6	$20,000	$20,000	$12,000	09-28-2005
UP2005-13SA	5	$17,500	$17,500	$13,250	09-28-2005
UP2005-14GC	2	$7,500	$7,500	$6,000	09-28-2005
UP2005-16ROP	3	$12,000	$12,000	$9,400	09-28-2005
UP2005-17HMT	1	$7,500	$7,500	$6,000	09-28-2005

UP2005-18HMT	4	$19,000	$19,000	$13,500	09-28-2005
UP2005-19TS	6	$9,000	$9,000	$7,100	09-28-2005
UP2005-21HMT	2	$6,000	$6,000	$4,000	09-28-2005
UP2005-22HMT	1	$2,500	$2,500	$1,750	09-28-2005
UP2005-23HMT	2	$4,500	$4,500	$3,150	09-28-2005
UP2005-24SA	8	$37,500	$37,500	$22,750	09-28-2005
UP2005-25SA	9	$30,000	$30,000	$20,000	09-28-2005
UP2005-27LI	13	$28,500	$28,500	$17,875	09-28-2005
UP2005-28RW	5	$6,000	$6,000	$4,000	09-28-2005
UP2005-30HMT	4	$8,500	$8,500	$5,600	09-28-2005
UP2005-31TS	2	$10,000	$10,000	$7,500	09-28-2005
UP2005-33SA	2	$12,500	$12,500	$9,375	09-28-2005
UP2005-34HSR	3	$3,000	$3,000	$2,380	09-28-2005
UP2005-36FCS	1	$2,500	$2,500	$1,900	09-28-2005
UP2005-37SA	1	$2,500	$2,500	$1,625	09-28-2005
UP2005-39SI	4	$11,000	$11,000	$9,150	09-28-2005
UP2005-40FCS	1	$2,500	$2,500	$1,500	09-28-2005
UP2005-41GC	1	$5,000	$5,000	$3,750	09-28-2005
UP2005-42SA	2	$7,500	$7,500	$6,000	09-28-2005
UP2005-44TS	2	$3,500	$3,500	$2,500	09-28-2005
UP2005-45EQ	1	$2,500	$2,500	$1,850	09-28-2005
UP2005-46GC	4	$20,000	$20,000	$15,000	09-28-2005
UP2005-53ROP	1	$5,000	$5,000	$3,100	09-28-2005

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
UP2005-186GC	1	$5,000	$5,000	$4,500	09-28-2005
WE2004-1SA	1	$10,000	$10,000	$4,000	06-30-2005
WE2004-2FCS	1	$2,500	$2,500	$1,500	06-30-2005
WE2004-3LI	1	$7,500	$7,500	$3,800	06-30-2005
WE2004-5HMT	1	$5,000	$5,000	$2,700	06-30-2005
WE2005-1HMT	1	$5,000	$5,000	$3,250	06-30-2005
WPRR2003-1SA	1	$2,500	$2,500	$1,500	05-12-2005
WPRR2003-2SA	1	$5,000	$5,000	$3,450	05-12-2005
WPRR2004-1SA	3	$7,500	$7,500	$4,650	10-28-2004
WPRR2004-2SA	1	$5,000	$5,000	$3,050	05-12-2005
WPRR2005-1TS	2	$5,000	$5,000	$3,000	05-12-2005
WPRR2005-3SA	1	$5,000	$5,000	$5,000	08-02-2005
WPRR2005-4SA	1	$2,500	$2,500	$2,500	08-02-2005
WTLC2004-1TS	1	$1,000	$1,000	$700	11-04-2004
WTRM2002-1GC	6	$6,000	$0	$0	02-18-2005
WTRY2004-1LI	1	$6,000	$6,000	$3,500	10-27-2004
WVRD2004-1RW	1	$3,000	$3,000	$2,000	01-05-2005
WVSR2004-1SA	1	$5,000	$0	$0	10-08-2004
WVSR2004-2LI	1	$5,000	$0	$0	10-08-2004
YSLR2002-2SA	3	$10,000	$0	$0	11-15-2004
YSLR2002-3TS	1	$5,000	$5,000	$2,000	06-14-2005
YSLR2002-4AR	1	$2,500	$2,500	$500	06-14-2005
YSLR2003-1HMT	1	$2,000	$2,000	$1,000	06-14-2005
ZABEQ2004-1HMT	1	$5,000	$5,000	$3,500	12-02-2004
ZABP2003-1HMT	7	$35,000	$35,000	$18,900	11-30-2004
ZACE2005-1HMT	1	$5,000	$5,000	$2,750	05-31-2005
ZACH2001-2HMT	1	$10,000	$10,000	$7,500	01-31-2005
ZACR2004-1HMT	4	$20,000	$20,000	$12,000	12-14-2004

ZADI2004-1HMT	1	$2,000	$2,000	$2,000	01-31-2005
ZADI2005-1HMT	1	$2,000	$2,000	$1,100	09-09-2005
ZAEL2004-1HMT	1	$10,000	$10,000	$6,000	06-02-2005
ZAFS2003-1HMT	1	$2,000	$2,000	$2,000	10-08-2004
ZAG2004-1HMT	1	$2,000	$2,000	$1,250	11-18-2004
ZAG2004-2HMT	1	$5,000	$5,000	$3,500	11-18-2004
ZAGCM2000-1HMT	3	$8,000	$0	$0	11-10-2004
ZAGF2003-1HMT	3	$30,000	$30,000	$15,000	05-04-2005
ZAGP2004-1HMT	1	$7,500	$7,500	$6,000	01-25-2005
ZAGS2003-1HMT	3	$6,000	$6,000	$6,000	05-05-2005
ZAGT2004-1HMT	1	$10,000	$10,000	$8,000	12-17-2004
ZAI2004-1HMT	1	$10,000	$10,000	$6,000	04-27-2005
ZAIL2004-1HMT	1	$2,000	$0	$0	02-04-2005
ZAKF2003-1HMT	2	$10,000	$10,000	$6,000	11-10-2004
ZAKN2003-1HMT	1	$10,000	$10,000	$8,000	01-12-2005
ZAKN2004-1HMT	1	$2,500	$2,500	$2,000	01-12-2005
ZAKN2004-2HMT	1	$2,000	$2,000	$1,500	01-12-2005
ZALL2003-1HMT	2	$10,000	$10,000	$10,000	11-03-2004
ZALN2004-1HMT	1	$10,000	$10,000	$6,500	11-09-2004
ZALTI2003-1HMT	1	$1,000	$1,000	$650	11-09-2004
ZALTS2003-1HMT	3	$15,000	$15,000	$7,500	10-14-2004
ZAMRG2004-1HMT	1	$5,000	$5,000	$4,000	12-17-2004
ZAPC2004-2HMT	2	$10,000	$10,000	$3,750	04-27-2005
ZAPC2004-3HMT	1	$2,000	$2,000	$1,500	04-27-2005

Date:01/04/06

Page No.: 25

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
ZAPL2001-1HMT	2	$7,000	$0	$0	12-21-2004
ZARCH2003-2HMT	1	$5,000	$5,000	$4,000	01-25-2005
ZARCI2003-1HMT	1	$2,500	$2,500	$2,000	11-09-2004
ZARFC2003-1HMT	2	$5,000	$0	$0	11-10-2004
ZARG2003-1HMT	1	$5,000	$5,000	$2,750	05-03-2005
ZARGR2003-1HMT	1	$10,000	$10,000	$7,000	11-30-2004
ZARGR2003-2HMT	1	$7,500	$7,500	$4,500	11-30-2004
ZARKA2002-1HMT	2	$15,000	$15,000	$9,000	11-22-2004
ZARPP2004-1HMT	4	$8,000	$8,000	$6,000	03-21-2005
ZASC2000-1HMT	1	$2,000	$2,000	$1,200	01-31-2005
ZASC2001-3HMT	2	$4,000	$4,000	$2,000	01-31-2005
ZASC2002-1HMT	11	$85,000	$85,000	$30,000	01-31-2005
ZASC2002-2HMT	1	$1,000	$1,000	$800	01-31-2005
ZASD2002-1HMT	1	$7,500	$7,500	$4,500	01-12-2005
ZASD2003-1HMT	1	$5,000	$5,000	$3,000	01-12-2005
ZASI2005-1HMT	1	$7,500	$7,500	$5,250	03-21-2005
ZATF2004-1HMT	2	$2,000	$2,000	$1,500	03-04-2005
ZATF2004-2HMT	1	$5,000	$0	$0	05-04-2005
ZAUG2004-1HMT	1	$10,000	$10,000	$7,000	11-10-2004
ZAUI2001-2HMT	1	$5,000	$5,000	$3,500	11-10-2004
ZAUI2002-2HMT	1	$10,000	$10,000	$10,000	10-08-2004
ZAWE2003-1HMT	2	$8,500	$8,500	$7,500	11-16-2004
ZBADG2003-1HMT	2	$12,500	$10,000	$8,000	10-15-2004
ZBADG2003-2HMT	2	$15,000	$5,000	$4,250	10-15-2004
ZBAE2002-2HMT	1	$10,000	$10,000	$8,000	12-30-2004
ZBAE2003-1HMT	2	$12,500	$12,500	$9,000	12-30-2004
ZBAE2003-2HMT	1	$2,500	$2,500	$2,125	12-30-2004

ZBAE2003-3HMT	2	$10,000	$10,000	$7,500	12-30-2004
ZBAE2003-4HMT	1	$5,000	$5,000	$4,000	12-30-2004
ZBAE2003-5HMT	1	$5,000	$5,000	$4,000	12-30-2004
ZBAE2004-1HMT	2	$10,000	$7,500	$6,000	12-30-2004
ZBAE2004-2HMT	1	$5,000	$5,000	$4,000	12-30-2004
ZBAE2005-1HMT	1	$7,500	$7,500	$5,000	09-14-2005
ZBAS2003-1HMT	1	$2,500	$2,500	$2,125	12-09-2004
ZBAS2003-2HMT	1	$5,000	$5,000	$4,000	12-09-2004
ZBAS2003-3HMT	1	$5,000	$5,000	$5,000	12-09-2004
ZBAS2003-4HMT	1	$10,000	$0	$0	11-24-2004
ZBAS2003-5HMT	1	$10,000	$10,000	$8,000	12-09-2004
ZBAS2004-1HMT	1	$10,000	$10,000	$8,000	12-09-2004
ZBASI2004-1HMT	1	$10,000	$10,000	$10,000	09-14-2005
ZBC2004-1HMT	2	$3,000	$3,000	$3,000	10-20-2004
ZBLRL2004-1LI	1	$7,000	$7,000	$3,550	12-03-2004
ZBLRL2004-2TS	1	$2,500	$2,500	$2,125	12-03-2004
ZBPA2004-1HMT	4	$8,000	$8,000	$6,800	11-30-2004
ZBPA2004-2HMT	1	$2,000	$2,000	$1,700	11-30-2004
ZBRF2003-141HMT	1	$2,000	$2,000	$1,700	11-15-2004
ZBRF2003-142HMT	1	$2,000	$2,000	$2,000	11-15-2004
ZCAM2002-1HMT	2	$12,500	$12,500	$8,750	11-03-2004
ZCAM2004-1HMT	1	$2,500	$2,500	$1,625	11-03-2004
ZCARO2003-1HMT	2	$15,000	$15,000	$10,500	05-03-2005
ZCAV2000-1HMT	2	$4,000	$0	$0	03-22-2005
ZCAV2000-2HMT	4	$8,000	$0	$0	03-22-2005

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Settlement/Final Assessment Date
ZCAV2000-3HMT	2	$4,000	$0	$0	03-22-2005
ZCCW2004-1HMT	1	$5,000	$5,000	$3,500	10-07-2004
ZCEG2003-1HMT	1	$2,000	$2,000	$1,000	01-28-2005
ZCELA2002-2HMT	2	$12,500	$12,500	$12,500	12-28-2004
ZCELA2004-2HMT	1	$5,000	$5,000	$5,000	12-08-2004
ZCENT2003-1HMT	2	$10,000	$10,000	$6,000	01-10-2005
ZCENX2003-1HMT	2	$10,000	$10,000	$7,500	01-05-2005
ZCFG2003-2HMT	1	$2,500	$2,500	$2,500	04-08-2005
ZCHB2003-1HMT	1	$10,000	$10,000	$6,500	12-21-2004
ZCHC2002-1HMT	1	$5,000	$5,000	$3,500	10-21-2004
ZCHEV2002-3HMT	2	$10,000	$10,000	$6,500	11-17-2004
ZCHEV2003-1HMT	1	$5,000	$5,000	$5,000	11-22-2004
ZCHEV2004-1HMT	1	$5,000	$5,000	$3,250	03-28-2005
ZCHM2005-1HMT	2	$4,000	$4,000	$3,000	09-19-2005
ZCHR2001-1HMT	3	$15,000	$15,000	$12,000	12-22-2004
ZCHS2002-2HMT	1	$10,000	$10,000	$6,500	12-21-2004
ZCHS2003-1HMT	1	$2,000	$2,000	$1,400	12-21-2004
ZCLB2001-1HMT	3	$6,000	$6,000	$3,600	04-14-2005
ZCLCI2003-1HMT	1	$2,000	$2,000	$2,000	04-08-2005
ZCLP2002-1HMT	1	$5,000	$5,000	$5,000	01-27-2005
ZCLP2005-1HMT	1	$5,000	$5,000	$5,000	09-12-2005
ZCML2003-1HMT	1	$2,000	$2,000	$2,000	10-07-2004
ZCNR2004-1HMT	1	$5,000	$5,000	$5,000	10-06-2004
ZCOLD2003-1HMT	1	$2,500	$2,500	$2,500	10-22-2004
ZCP2003-1HMT	4	$8,000	$8,000	$5,200	02-03-2005
ZCP2003-2HMT	1	$2,000	$2,000	$1,300	02-03-2005

ZCPPC2002-1HMT	1	$10,000	$10,000	$5,000	10-12-2004
ZCRON2003-1HMT	1	$2,000	$2,000	$1,400	01-05-2005
ZCRR2004-1HMT	1	$10,000	$10,000	$5,500	02-28-2005
ZCSX2003-1HMT	1	$7,500	$7,500	$4,500	05-31-2005
ZCSX2003-2HMT	3	$22,500	$22,500	$12,750	05-31-2005
ZCSX2004-1HMT	2	$7,500	$7,500	$4,500	05-31-2005
ZCSX2004-2HMT	4	$10,000	$10,000	$7,500	05-31-2005
ZCSX2004-3HMT	2	$4,000	$4,000	$2,800	05-31-2005
ZCSX2004-4HMT	3	$7,000	$7,000	$4,500	05-31-2005
ZCT2002-1HMT	1	$10,000	$10,000	$6,500	01-06-2005
ZCT2004-1HMT	1	$5,000	$5,000	$3,000	12-22-2004
ZCTO2003-1HMT	2	$6,500	$6,500	$5,200	02-02-2005
ZCTT2004-1HMT	1	$5,000	$5,000	$3,250	12-09-2004
ZEDC2004-1HMT	1	$7,500	$7,500	$4,500	12-08-2004
ZEDC2004-2HMT	1	$10,000	$10,000	$5,000	12-22-2004
ZEIWZ2004-1HMT	1	$7,500	$0	$0	12-13-2004
ZEL2003-1HMT	1	$2,000	$2,000	$1,400	10-04-2004
ZENR2002-1HMT	1	$7,500	$7,500	$7,500	04-21-2005
ZEXCL2005-1HMT	1	$5,000	$5,000	$3,700	09-22-2005
ZEXM2005-1HMT	1	$2,500	$2,500	$2,500	09-06-2005
ZFIC2004-1HMT	1	$5,000	$5,000	$3,500	10-21-2004
ZFJI2004-1HMT	1	$2,500	$2,500	$2,500	11-03-2004
ZFLI2002-1HMT	2	$20,000	$10,000	$2,100	01-28-2005
ZFLI2003-1HMT	1	$10,000	$10,000	$2,050	01-28-2005
ZFLI2003-2HMT	1	$2,500	$2,500	$2,100	01-28-2005
ZFLY2004-1HMT	2	$10,000	$8,000	$8,000	10-20-2004

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Settlement/Final Assessment Date
ZFOR2004-1HMT	4	$20,000	$14,000	$14,000	10-22-2004
ZGAX2000-1HMT	1	$5,000	$5,000	$2,500	01-31-2005
ZGAX2001-1HMT	3	$25,000	$25,000	$3,000	01-31-2005
ZGEB2003-1HMT	1	$2,000	$0	$0	01-07-2005
ZGLC2002-1HMT	1	$5,000	$5,000	$3,750	01-31-2005
ZGMN2003-1HMT	2	$7,000	$7,000	$4,300	01-31-2005
ZGMN2003-2HMT	1	$2,500	$0	$0	01-31-2005
ZGMN2003-3HMT	1	$10,000	$10,000	$7,500	01-31-2005
ZGMN2003-4HMT	2	$10,000	$10,000	$6,500	01-31-2005
ZGMN2003-5HMT	1	$2,500	$2,500	$1,625	01-31-2005
ZGMN2003-6HMT	1	$7,500	$7,500	$4,875	01-31-2005
ZGMN2003-7HMT	2	$9,500	$9,500	$6,175	01-31-2005
ZGMN2003-8HMT	4	$8,000	$8,000	$5,200	01-31-2005
ZGMN2004-1HMT	1	$2,000	$2,000	$1,300	01-31-2005
ZGRPQ2004-1HMT	2	$4,000	$0	$0	12-27-2004
ZGRV2003-1HMT	1	$5,000	$5,000	$3,000	01-31-2005
ZHCG2004-1HMT	1	$10,000	$10,000	$10,000	09-13-2005
ZHERT2003-1HMT	1	$7,500	$7,500	$5,500	10-12-2004
ZHGF2002-1HMT	1	$10,000	$10,000	$7,000	03-30-2005
ZHGF2002-2HMT	1	$5,000	$5,000	$3,000	03-30-2005
ZHMI2002-1HMT	1	$3,000	$3,000	$2,000	07-25-2005
ZHMM2004-1HMT	2	$8,500	$0	$0	03-07-2005
ZHMSO2003-1HMT	2	$4,000	$4,000	$2,400	05-24-2005
ZHRC2003-1HMT	1	$2,000	$2,000	$2,000	02-15-2005
ZHUD2003-1HMT	2	$7,500	$7,500	$7,500	01-14-2005

ZHWS2004-1HMT	1	$5,000	$5,000	$2,750	05-24-2005
ZIMTB2004-1HMT	1	$2,000	$0	$0	11-23-2004
ZIP2004-1HMT	1	$5,000	$5,000	$3,000	12-01-2004
ZIPP2004-1HMT	2	$12,000	$12,000	$7,700	03-29-2005
ZISO2004-1HMT	3	$9,500	$9,500	$9,500	07-29-2005
ZITT2004-1HMT	3	$15,000	$15,000	$9,750	01-12-2005
ZKEP2004-1HMT	1	$2,000	$2,000	$2,000	11-30-2004
ZKMB2002-2HMT	1	$2,000	$2,000	$1,500	05-20-2005
ZKMB2002-4HMT	1	$2,000	$2,000	$1,500	05-20-2005
ZKMB2003-2HMT	1	$5,000	$5,000	$3,750	05-20-2005
ZKMB2004-2HMT	1	$2,000	$2,000	$1,500	05-20-2005
ZKMB2004-3HMT	1	$2,500	$2,500	$2,500	12-16-2004
ZKMB2004-4HMT	2	$6,000	$6,000	$4,500	05-20-2005
ZKMB2004-5HMT	3	$6,000	$6,000	$4,500	05-20-2005
ZKMB2004-6HMT	1	$7,500	$7,500	$5,625	05-20-2005
ZKMCI2003-1HMT	1	$5,000	$5,000	$3,000	04-14-2005
ZKMG2004-1HMT	2	$5,000	$5,000	$2,500	12-22-2004
ZKMT2003-1HMT	1	$2,000	$2,000	$2,000	06-21-2005
ZKMT2004-1HMT	2	$4,000	$4,000	$4,000	01-28-2005
ZKOR2003-1HMT	1	$5,000	$5,000	$3,500	04-27-2005
ZKPI2003-1HMT	1	$10,000	$10,000	$7,500	04-15-2005
ZKPI2004-1HMT	1	$5,000	$5,000	$5,000	01-28-2005
ZKPS2003-1HMT	1	$2,500	$2,500	$2,500	12-29-2004
ZKRON2003-1HMT	1	$15,000	$15,000	$11,000	02-11-2005
ZKUSA2005-1HMT	1	$2,500	$2,500	$2,500	09-09-2005
ZLES2003-1HMT	2	$5,000	$5,000	$1,550	03-17-2005
ZLON2004-1HMT	1	$2,500	$2,500	$1,550	03-01-2005

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
ZLON2005-1HMT	1	$2,500	$2,500	$1,700	09-05-2005
ZLTCI2005-1HMT	1	$2,000	$2,000	$1,360	08-02-2005
ZLUT2002-1HMT	1	$7,500	$7,500	$4,900	01-03-2005
ZMARP2003-1HMT	1	$5,000	$5,000	$3,250	01-31-2005
ZMARP2004-2HMT	1	$5,000	$5,000	$3,500	01-31-2005
ZMARP2004-3HMT	1	$5,000	$5,000	$3,250	01-31-2005
ZMARP2004-4HMT	1	$5,000	$5,000	$3,500	01-31-2005
ZMARP2004-5HMT	1	$5,000	$5,000	$4,000	01-31-2005
ZMBR2004-1LI	1	$2,500	$2,500	$2,500	02-28-2005
ZMNP2001-1HMT	1	$5,000	$5,000	$3,500	01-31-2005
ZMNP2001-2HMT	1	$10,000	$10,000	$7,500	01-31-2005
ZMNP2001-3HMT	1	$5,000	$5,000	$3,750	01-31-2005
ZMNP2002-1HMT	4	$12,000	$12,000	$8,400	01-31-2005
ZMNP2002-2HMT	1	$2,500	$0	$0	02-17-2005
ZMNP2002-3HMT	1	$10,000	$10,000	$7,500	01-31-2005
ZMNP2003-1HMT	1	$5,000	$5,000	$3,500	01-31-2005
ZMROC2004-1HMT	1	$2,500	$2,500	$2,500	10-05-2004
ZMTE2000-2HMT	2	$10,000	$10,000	$6,000	03-14-2005
ZMTE2001-2HMT	2	$5,500	$5,000	$3,025	03-09-2005
ZMTE2002-1HMT	2	$4,500	$4,500	$2,475	03-09-2005
ZMTE2002-2HMT	2	$10,000	$10,000	$5,500	03-09-2005
ZMTE2002-4HMT	1	$10,000	$10,000	$8,000	03-09-2005
ZMTE2003-1HMT	1	$15,000	$15,000	$12,000	03-09-2005
ZMUSK2005-1HMT	1	$2,000	$2,000	$2,000	07-08-2005

ZNBSR2005-1HMT	1	$2,500	$2,500	$2,500	08-18-2005
ZNCAS2004-1HMT	2	$10,000	$10,000	$7,000	11-15-2004
ZNOS2005-1HMT	1	$10,000	$10,000	$6,600	09-02-2005
ZPCSS2003-2HMT	1	$5,000	$5,000	$3,500	09-08-2005
ZPCSS2004-1HMT	1	$15,000	$15,000	$10,500	09-08-2005
ZPHC2003-1HMT	3	$15,000	$7,500	$5,000	06-07-2005
ZPJC2003-1HMT	1	$2,000	$2,000	$2,000	06-07-2005
ZPJC2003-2HMT	5	$35,000	$35,000	$22,500	06-07-2005
ZPJC2003-3HMT	1	$5,000	$5,000	$5,000	06-07-2005
ZPJC2003-4HMT	2	$4,000	$0	$0	06-07-2005
ZPJC2003-5HMT	2	$5,000	$0	$0	06-07-2005
ZPJC2003-6HMT	1	$10,000	$10,000	$6,000	06-07-2005
ZPJC2003-7HMT	3	$6,000	$6,000	$3,500	06-07-2005
ZPJC2004-1HMT	1	$2,000	$2,000	$2,000	04-20-2005
ZPJC2004-2HMT	2	$4,000	$4,000	$4,000	04-20-2005
ZPJC2004-3HMT	1	$2,500	$2,500	$2,500	02-22-2005
ZPJC2004-4HMT	1	$2,500	$2,500	$2,500	02-22-2005
ZPJC2005-1HMT	1	$2,000	$2,000	$2,000	06-20-2005
ZPJC2005-2HMT	1	$5,000	$5,000	$5,000	09-14-2005
ZPLM2002-1HMT	2	$40,000	$40,000	$25,000	08-22-2005
ZPOL2004-1HMT	1	$5,000	$5,000	$275	05-17-2005
ZPONS2003-1HMT	1	$2,000	$2,000	$1,240	08-16-2005
ZPOTS2004-1HMT	1	$5,000	$0	$0	02-22-2005
ZPROF2004-1HMT	2	$6,000	$6,000	$6,000	09-30-2005
ZPTCC2002-1HMT	1	$13,500	$13,500	$8,100	08-08-2005
ZPVFE2004-1HMT	1	$5,000	$5,000	$5,000	06-30-2005
ZPVFE2004-2HMT	1	$5,000	$5,000	$5,000	06-30-2005
ZPVT2002-1HMT	1	$5,000	$5,000	$3,500	09-26-2005

Date:01/04/06

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date
ZQOC2003-1HMT	1	$5,000	$5,000	$3,500	03-07-2005
ZRCY2002-1HMT	1	$2,500	$2,500	$1,600	01-25-2005
ZRE2003-2HMT	1	$5,000	$5,000	$3,100	01-24-2005
ZRE2004-1HMT	1	$2,500	$2,500	$1,500	01-24-2005
ZRE2004-2HMT	1	$5,000	$5,000	$3,250	01-24-2005
ZREP1999-1HMT	1	$5,000	$5,000	$2,500	01-14-2005
ZREP2003-1HMT	1	$5,000	$5,000	$2,500	01-14-2005
ZRES2002-1HMT	1	$5,000	$5,000	$3,000	01-11-2005
ZRES2002-2HMT	1	$5,000	$5,000	$3,000	01-11-2005
ZRET2003-1HMT	1	$15,000	$15,000	$11,250	01-28-2005
ZRHD2004-1HMT	1	$2,000	$2,000	$2,000	06-13-2005
ZRHD2004-2HMT	1	$2,000	$2,000	$2,000	11-30-2004
ZRHI2004-1HMT	1	$5,000	$5,000	$5,000	10-20-2004
ZSAF2001-2HMT	4	$11,000	$11,000	$6,300	02-25-2005
ZSAF2002-1HMT	1	$2,000	$2,000	$1,600	02-25-2005
ZSAF2003-1HMT	1	$2,000	$2,000	$1,550	02-25-2005
ZSAF2003-2HMT	1	$2,000	$2,000	$1,550	02-25-2005
ZSAF2004-1HMT	3	$17,000	$17,000	$13,500	02-25-2005
ZSAF2004-2HMT	2	$6,000	$6,000	$4,700	02-25-2005
ZSAV2004-1HMT	1	$5,000	$0	$0	01-11-2005
ZSBP2004-1HMT	2	$4,000	$4,000	$2,500	01-06-2005
ZSCC2003-2HMT	1	$10,000	$10,000	$7,750	11-15-2004
ZSCC2004-1HMT	1	$10,000	$10,000	$7,000	11-15-2004

ZSCP2005-1HMT	1	$5,000	$5,000	$5,000	09-28-2005
ZSCPI2003-1HMT	1	$2,000	$2,000	$1,400	11-15-2004
ZSDI2005-1HMT	1	$3,500	$3,500	$2,700	07-12-2005
ZSENT2002-1HMT	1	$15,000	$15,000	$2,500	03-24-2005
ZSFI2003-1HMT	2	$10,000	$10,000	$6,500	12-20-2004
ZSHT2003-2HMT	4	$8,000	$8,000	$8,000	10-13-2004
ZSID2004-1HMT	1	$10,000	$10,000	$8,500	12-13-2004
ZSIN2004-2HMT	4	$20,000	$20,000	$15,000	11-10-2004
ZSIND2004-1HMT	1	$2,000	$2,000	$1,500	11-01-2004
ZSNOC2003-2HMT	1	$5,000	$5,000	$3,500	12-15-2004
ZSNOC2005-1HMT	1	$5,000	$5,000	$3,500	07-14-2005
ZSNPR2004-1HMT	2	$17,500	$17,500	$9,500	01-21-2005
ZSNTG2003-1HMT	1	$10,000	$7,600	$7,600	01-25-2005
ZSNWO2003-1HMT	9	$18,500	$18,500	$14,000	01-25-2005
ZSOC2003-4HMT	1	$5,000	$5,000	$3,750	12-06-2004
ZSOC2003-5HMT	1	$2,000	$2,000	$1,500	12-14-2004
ZSOC2004-2HMT	1	$10,000	$10,000	$7,350	11-15-2004
ZSPEN2003-1HMT	1	$10,000	$0	$0	11-16-2004
ZSRM2003-1HMT	2	$5,000	$5,000	$3,500	12-15-2004
ZSTE2003-1HMT	1	$2,000	$2,000	$2,000	12-15-2004
ZSTS2003-1HMT	1	$5,000	$5,000	$3,500	11-05-2004
ZSTV2002-1HMT	5	$10,000	$10,000	$10,000	02-07-2005
ZSTV2003-1HMT	6	$28,000	$28,000	$19,600	12-14-2004
ZSTV2004-2HMT	1	$10,000	$10,000	$6,000	06-22-2005
ZSWA2004-1HMT	1	$5,000	$5,000	$3,500	11-16-2004
ZSWL2002-1HMT	3	$9,000	$9,000	$4,500	11-30-2004
ZSYS2003-1HMT	6	$21,500	$21,500	$15,200	12-30-2004
ZTALL2004-1HMT	1	$5,000	$0	$0	05-05-2005
ZTERM2004-1HMT	3	$8,000	$8,000	$8,000	12-17-2004

Date:01/04/06

Page No.: 30

Federal Railroad Administration
Safety Cases Closed in FY 2005

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Settlement/Final Assessment Date
ZTERM2005-1HMT	5	$10,000	$10,000	$10,000	03-08-2005
ZTRR2003-1HMT	1	$7,500	$7,500	$4,500	04-07-2005
ZUNIV2003-1HMT	1	$2,000	$2,000	$2,000	12-07-2004
ZUNIV2004-1HMT	1	$5,000	$5,000	$4,000	12-07-2004
ZUNIV2004-2HMT	1	$5,000	$5,000	$3,750	12-07-2004
ZUNIV2004-3HMT	1	$4,000	$4,000	$3,200	12-07-2004
ZUPS2002-1HMT	1	$5,000	$5,000	$550	03-14-2005
ZUPS2004-1HMT	1	$2,000	$2,000	$1,400	03-14-2005
ZUPS2004-2HMT	1	$7,500	$7,500	$6,000	03-14-2005
ZUPS2004-3HMT	4	$20,000	$20,000	$16,000	03-14-2005
ZUPS2005-1HMT	2	$10,000	$10,000	$10,000	09-14-2005
ZUSEP2005-1HMT	1	$10,000	$10,000	$10,000	05-17-2005
ZUSM2004-2HMT	6	$12,000	$12,000	$12,000	09-14-2005
ZUTC2004-1HMT	1	$2,000	$2,000	$1,600	10-06-2004
ZVBI2004-1HMT	4	$8,000	$8,000	$5,500	01-24-2005
ZVDE1998-1HMT	6	$31,000	$0	$0	10-12-2004
ZVEI2004-1HMT	2	$4,000	$4,000	$4,000	11-19-2004
ZVEL2004-1HMT	1	$10,000	$10,000	$10,000	03-02-2005
ZWBE2003-1HMT	1	$10,000	$10,000	$7,000	12-17-2004
ZWBM2002-1HMT	1	$5,000	$5,000	$3,500	05-27-2005
ZWCA2003-1HMT	2	$6,000	$6,000	$4,200	12-16-2004
ZWEC2002-1HMT	1	$2,000	$2,000	$1,300	06-17-2005

ZWERZ2005-1HMT	1	$2,000	$2,000	$2,000	05-10-2005
ZWLDS2004-1HMT	1	$7,500	$7,500	$2,000	10-20-2004
ZWLP2001-1HMT	1	$5,000	$5,000	$2,750	01-27-2005
ZWPV2004-1HMT	1	$4,000	$4,000	$2,400	01-14-2005
ZWRD2002-1HMT	2	$4,000	$4,000	$2,500	12-15-2004
ZWTA2003-1HMT	2	$10,000	$10,000	$7,500	12-16-2004
ZWXF2004-1HMT	1	$2,000	$2,000	$1,100	11-29-2004
Sum		$13,124,000	$12,417,600	$8,599,435	

To return to previous page click here